

micro and nanoelectronics
microsystems
ambient intelligence
image chain
biology and health



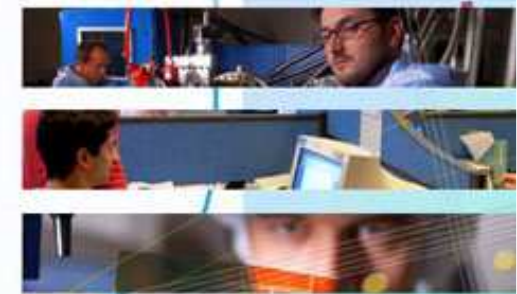
Thermal Management of High Brightness LEDs

Stéphane BERNABE

Paul MESSAOUDI

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cea



Outline

- Introduction to LED technology
- Thermal effects in LEDs
- Modelling and characterization of LEDs
- Applications of LEDs
- Advanced thermal management
- Conclusion

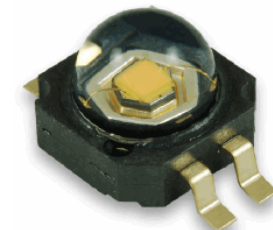
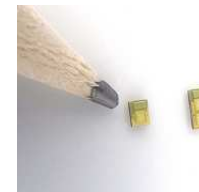
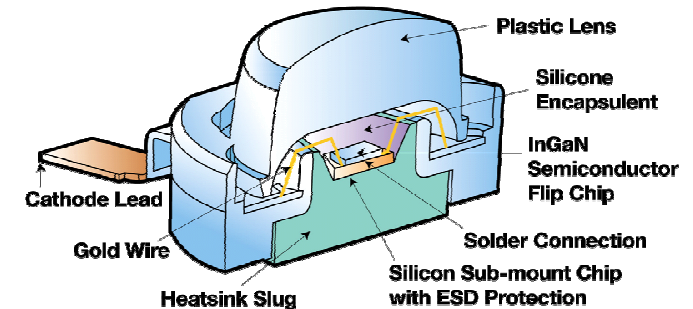
Introduction

- Aim of the presentation
 - Awareness of LED technology and use
 - Solutions and keys to make fully effective luminaires with LEDs
 - ◆ You will then be confident to put on your products...



Introduction - LEDs benefits

- Efficient light source
 - Low energy consumption
 - No Infrared or UV energy loss
- Quite all the visible spectrum is available
- Long life duration
 - More than 50000 hours
 - Robust and compact
- Environment friendly
 - No mercury or lead
 - Only made of minerals and metals
- ... But as an electronic component, LEDs are thermally sensitive device !



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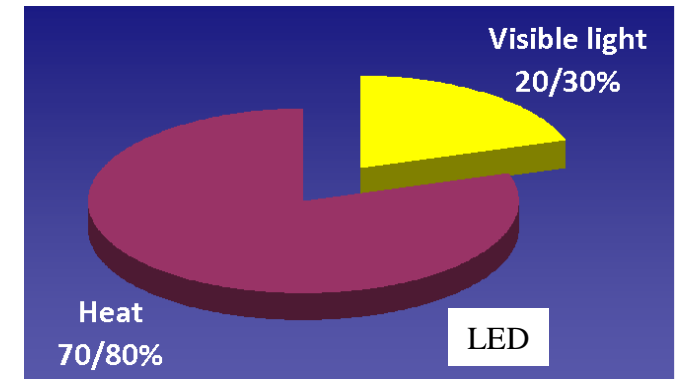
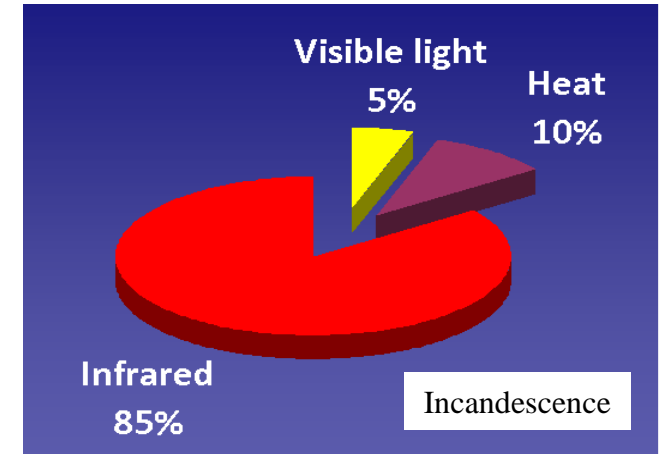
Introduction - Comparison

■ Incandescent light

- Only 5% of the energy used for lighting
- Joule heating + Infrared radiation (also converted into heat) = 95% of energy loss

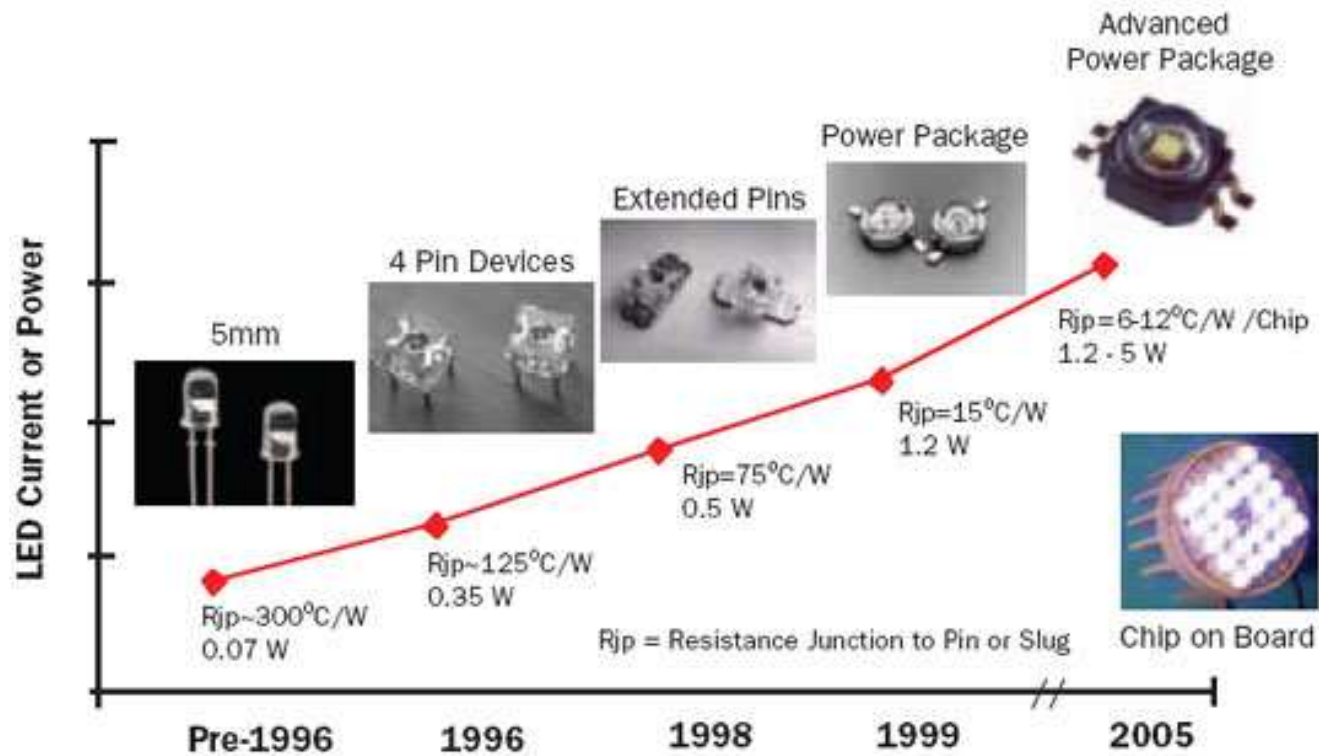
■ LED light

- 25% of the energy converted into light
 - ◆ Goal : 50% of energy conversion for 2025 !
- Moderate Joule heating
 - ◆ Thermal transfer mainly by conduction



Introduction – LED evolution

- Heat dissipated by conduction + constant increase in LED power



Source : Petroski – Electronics Cooling - 2006

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Outline

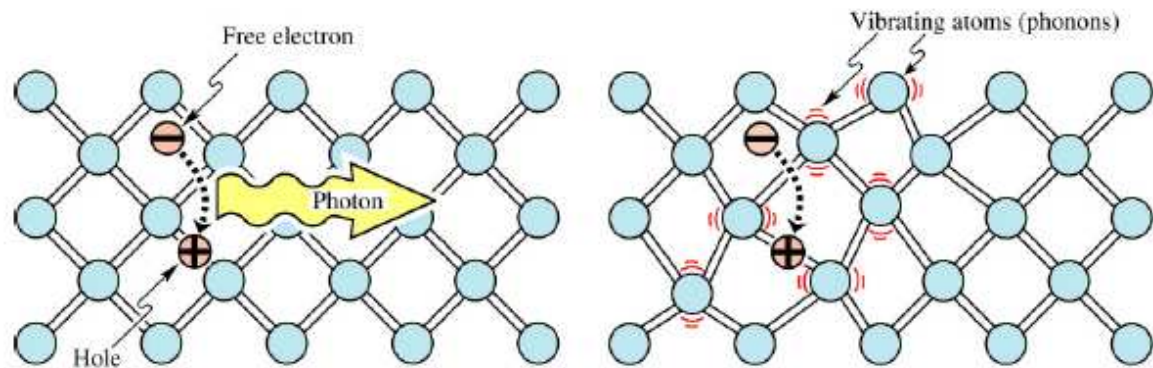
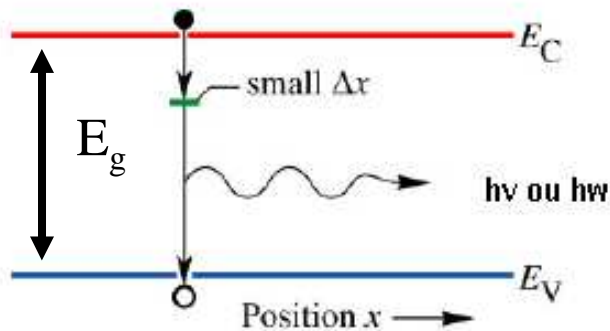
- Introduction to LED technology
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- Advanced characterization of LEDs
- Applications of LEDs
- Advanced thermal management
- Conclusion

Thermal effects – LED technology

■ LED is an electronic component

- Semiconductor material
 - ◆ Electrical insulator or conductor
 - Depending on operating conditions (temperature, electric field, doping)
 - ◆ Radiative or non-radiative transition
 - Gap Energy E_g defines the Wavelength (color) of the photon

Espace réel



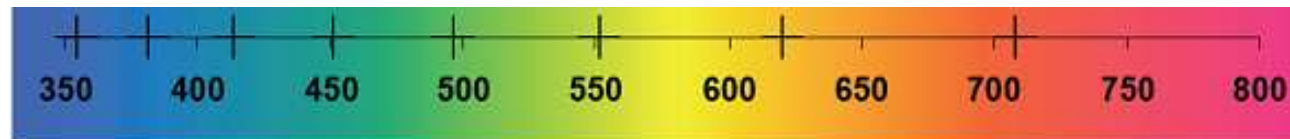
Radiative recombinaison

Non radiative recombinaison

Thermal effects – LED technology

■ LED materials

- Gallium nitride : (UV) Blue to Green
- Gallium phosphide : Amber to Red
- Gallium arsenide : Red to Infrared



wavelength / nm

GaAs

AlInGaP

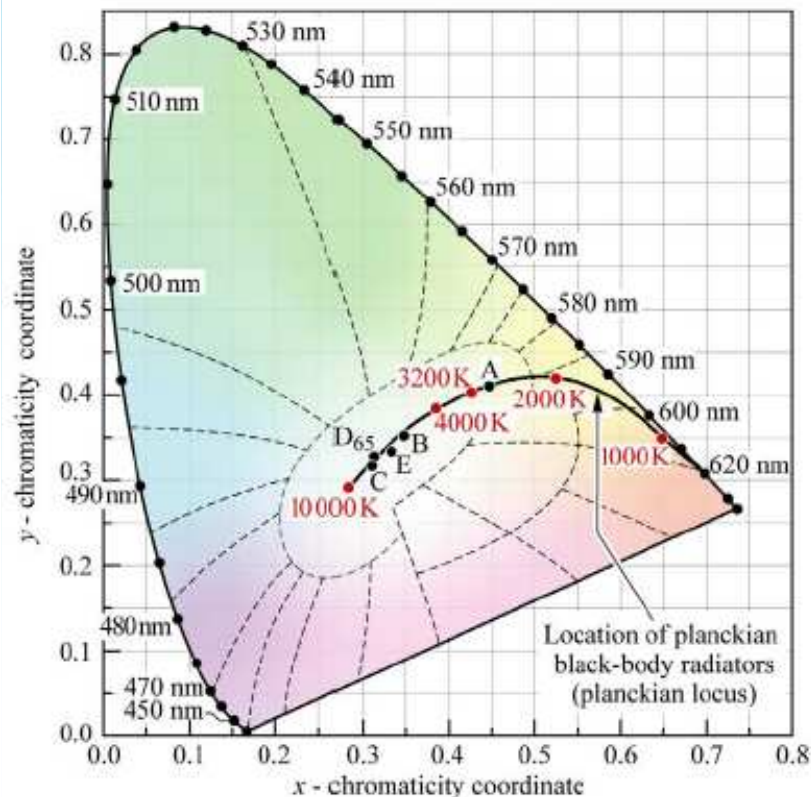
InGaN

- No direct Yellow (550-590 nm) and low efficient Green

Thermal effects – White color with LEDs

■ Warm White vs. Cold White

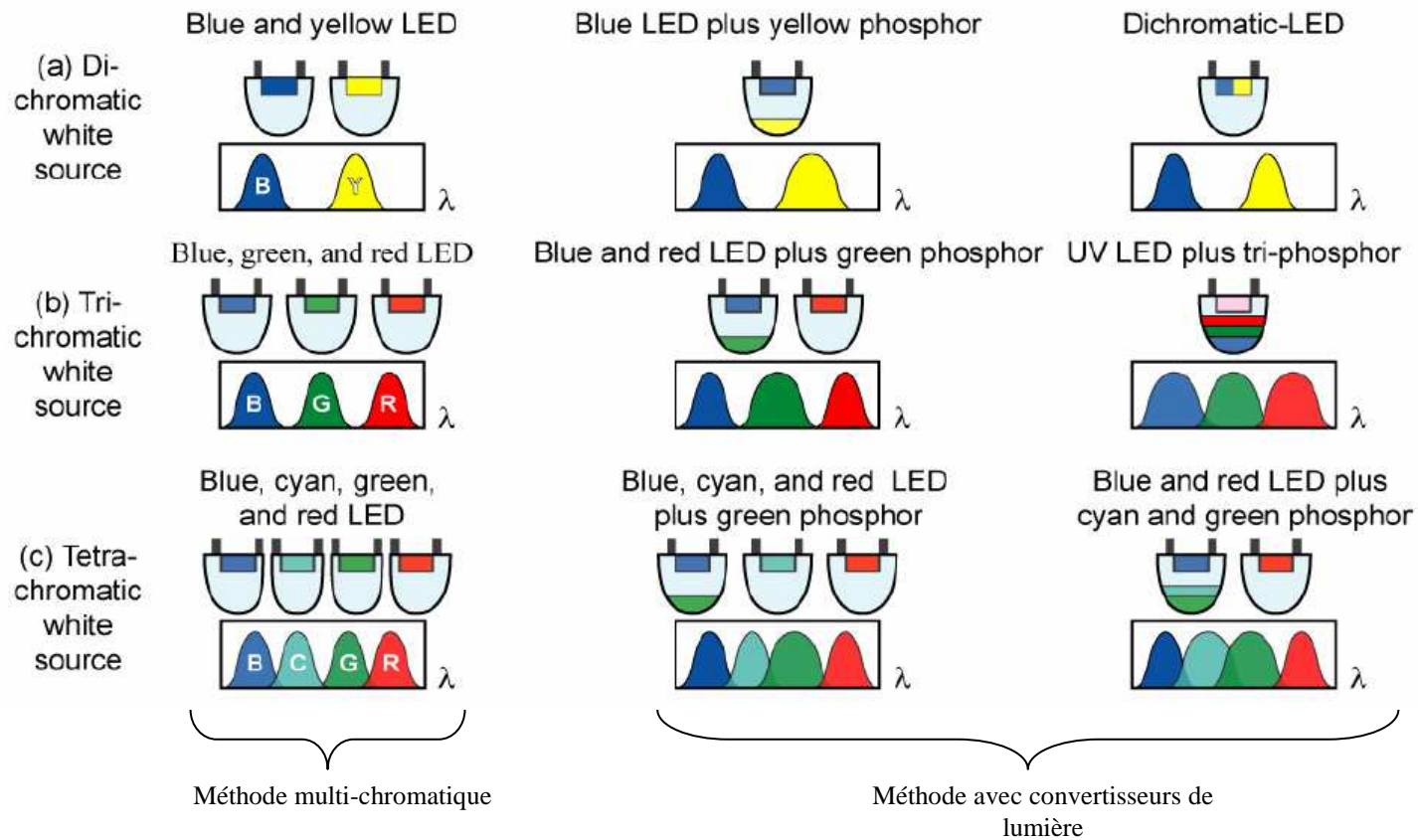
- Beware: Correlated Color Temperature (CCT) is inverted !
 - ◆ High CCT for cold white (>3000K)
 - ◆ Low CCT for warm white (~2700K to 3000K)



CCT description

Thermal effects – White color with LEDs

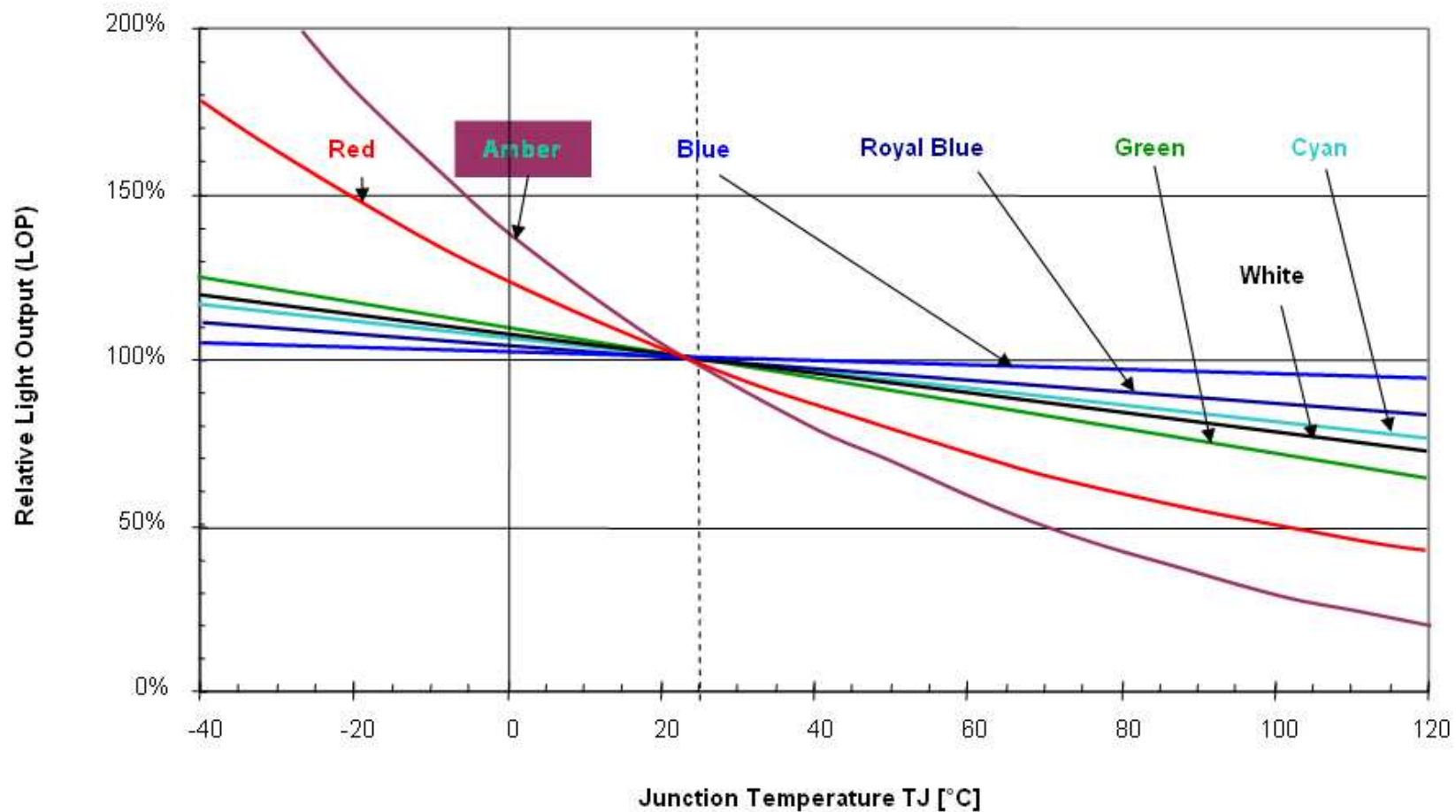
How to make white with LEDs



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Thermal effects – Light output

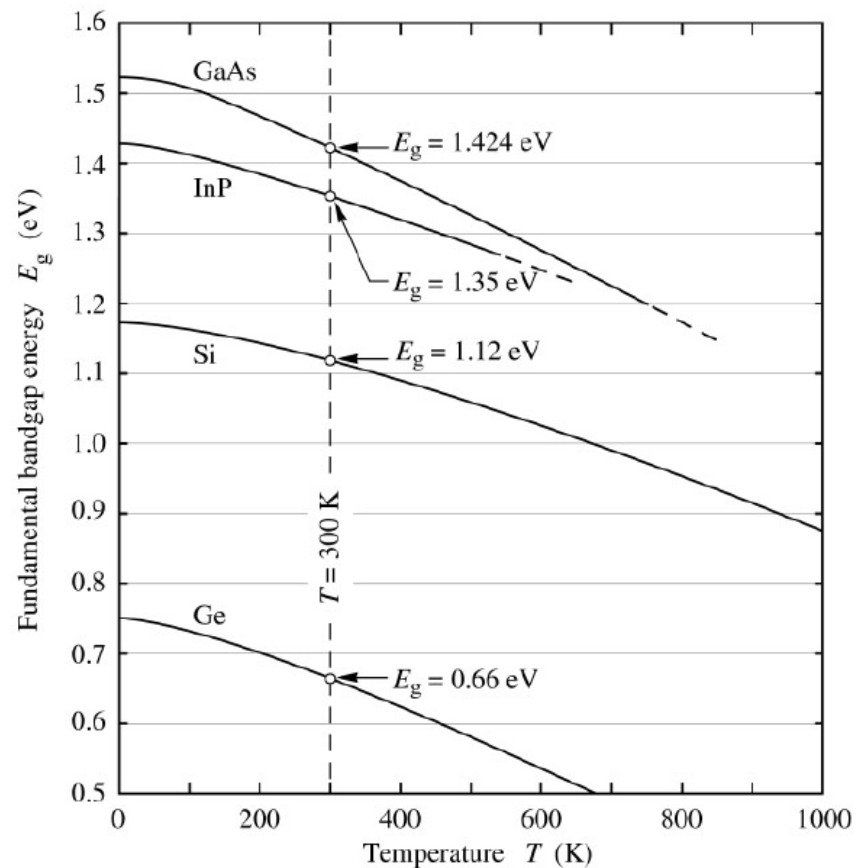
- Efficiency degradation with the temperature



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Thermal effects – Color Consistency

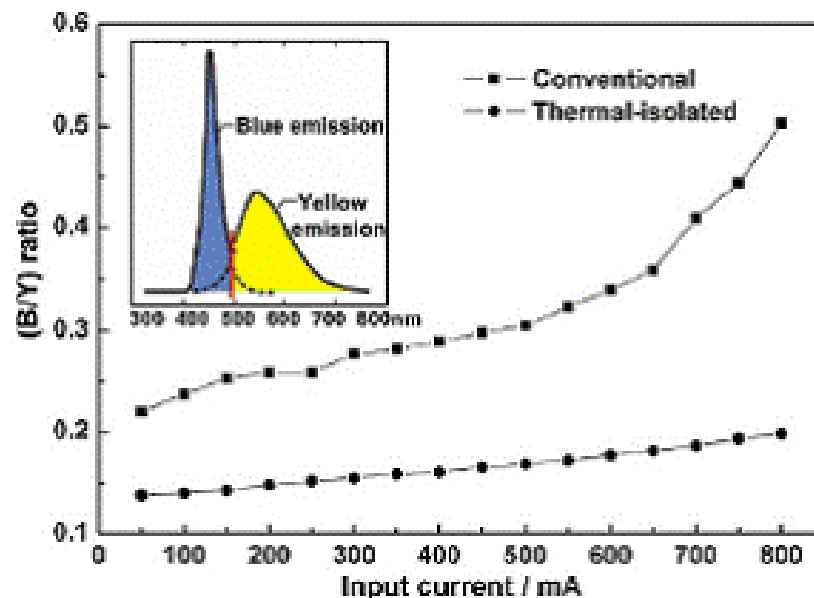
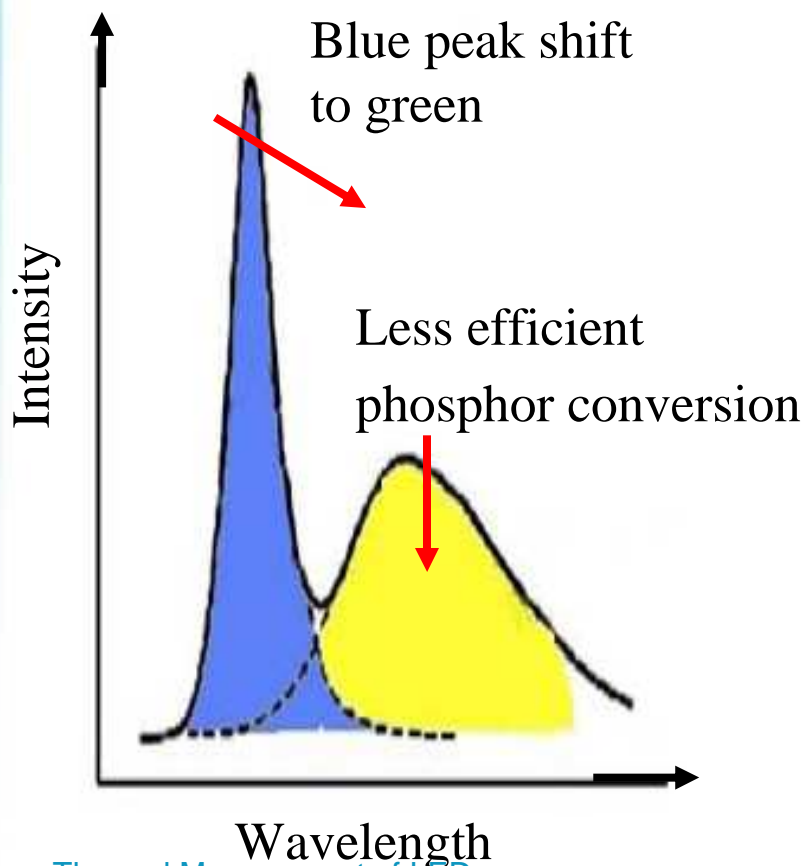
- Color shift due to the semiconductor effect
 - Different materials in RGB module → different behavior with the temperature



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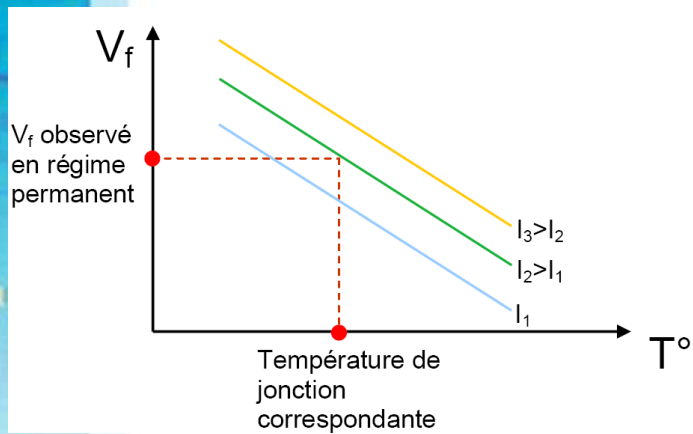
Thermal effects – Color Consistency

- Effect of the temperature on the color spectrum of a phosphor converted white LED

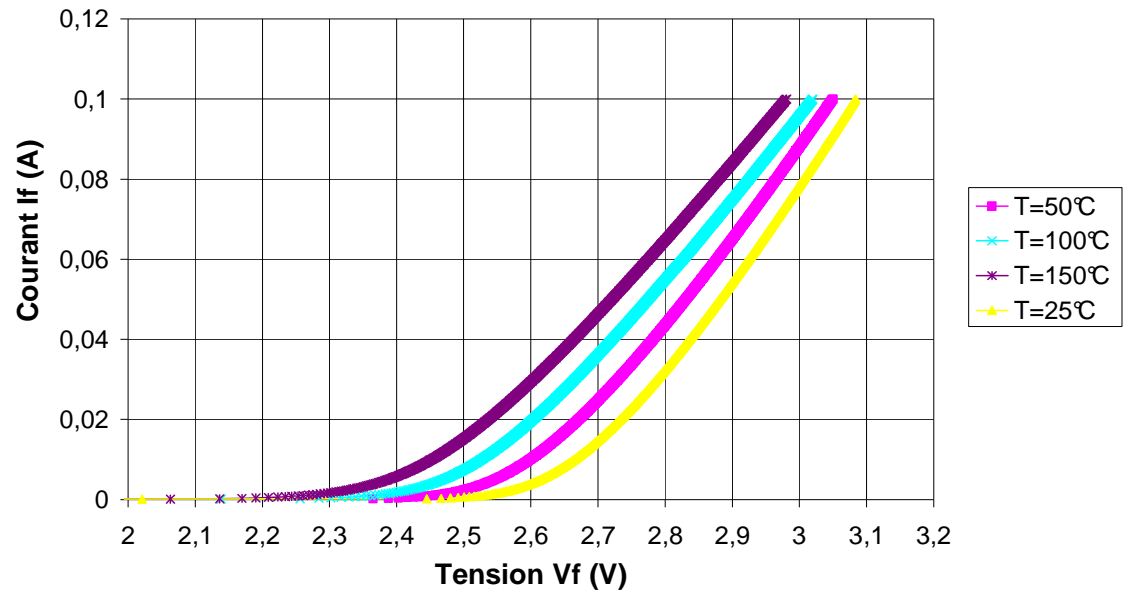


Thermal effects – Power shift

- Drop of the forward voltage with temperature
 - Semiconductor behavior



Caractéristique I-V de la diode bleue



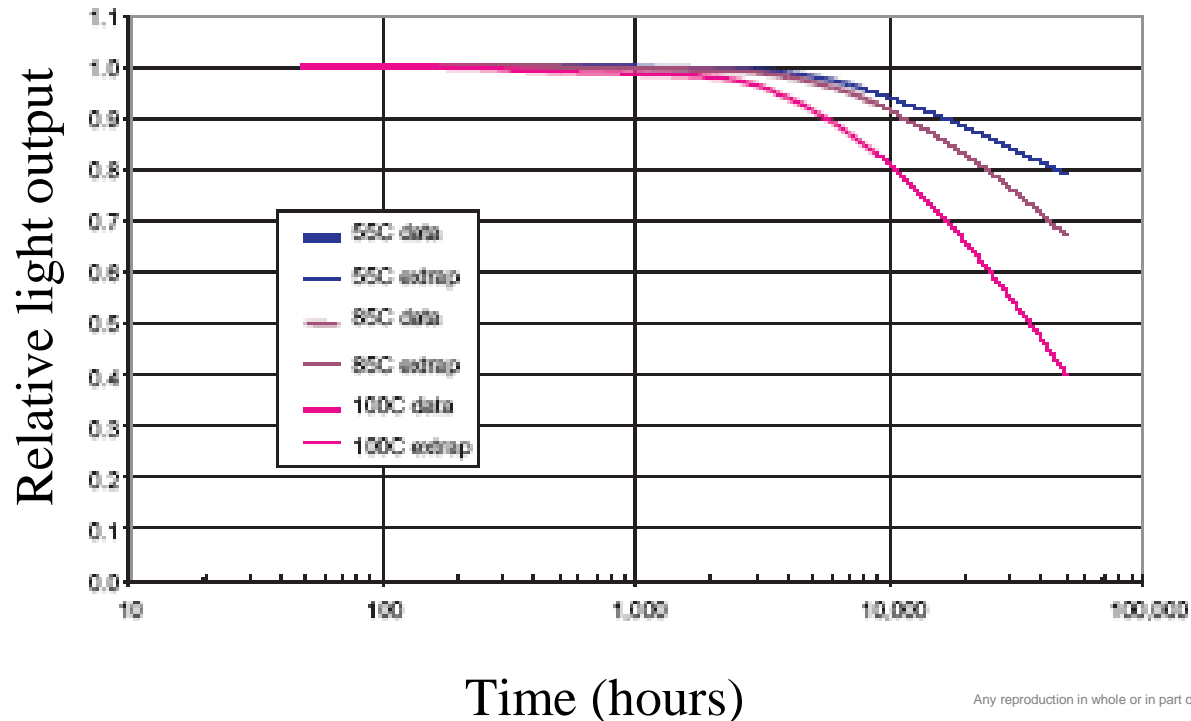
No possible Voltage driving

Thermal effects – Lifetime

- Reduced lifetime with high temperature
 - CITADEL Project for investigating reliability of LED fixtures

Normalized light output

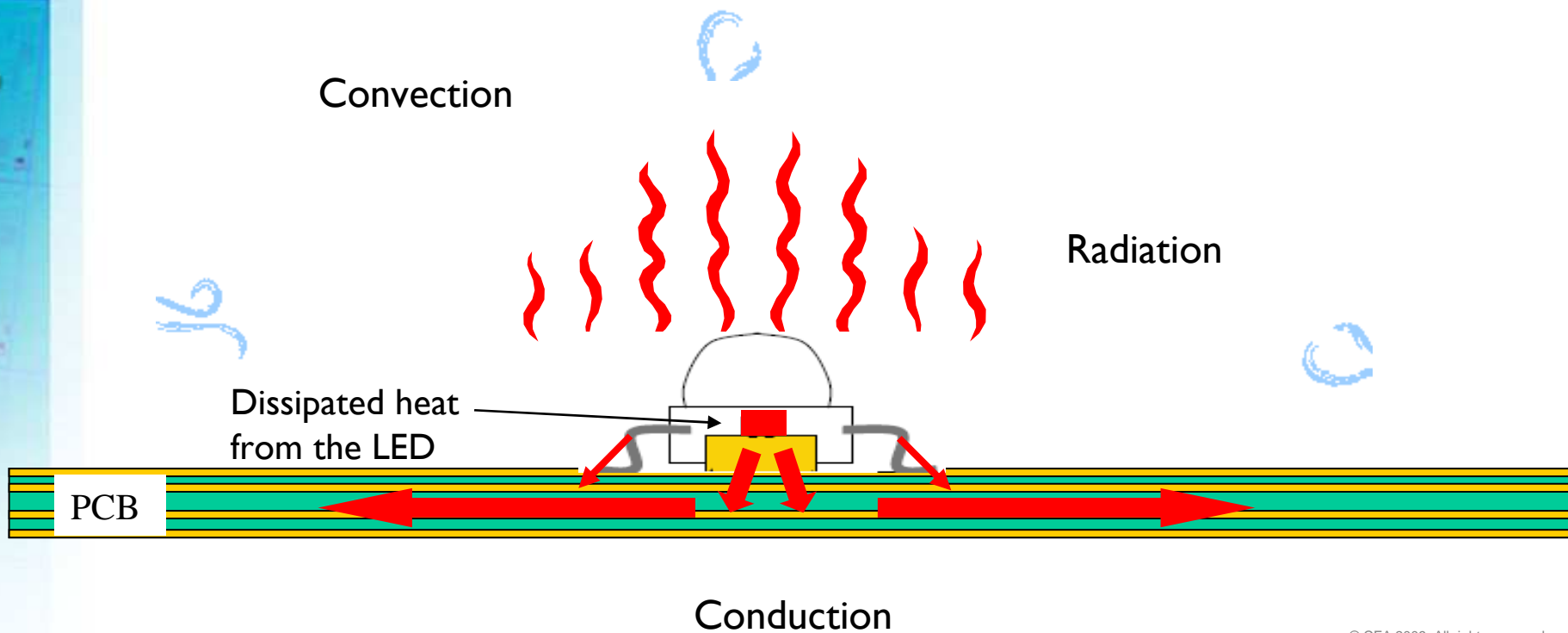
InGaN LUXEON stressed at 350 mA, various slug temperatures



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Thermal effects – Thermal transfers

- Heat transfer modes for electronic components



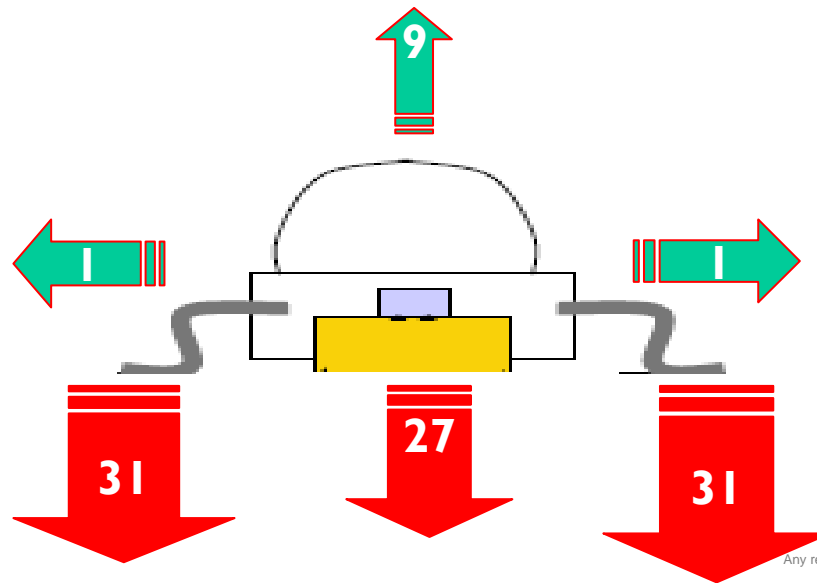
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Thermal effects – Thermal transfers

- Heat transfer modes for electronic components
 - **Radiation** is low
 - ◆ Small exchange areas (1 mm^2) and packaging
 - Weak **convection** inside packages
 - ◆ Relatively low temperature $\sim 100^\circ\text{C}$

} $\sim 10\%$

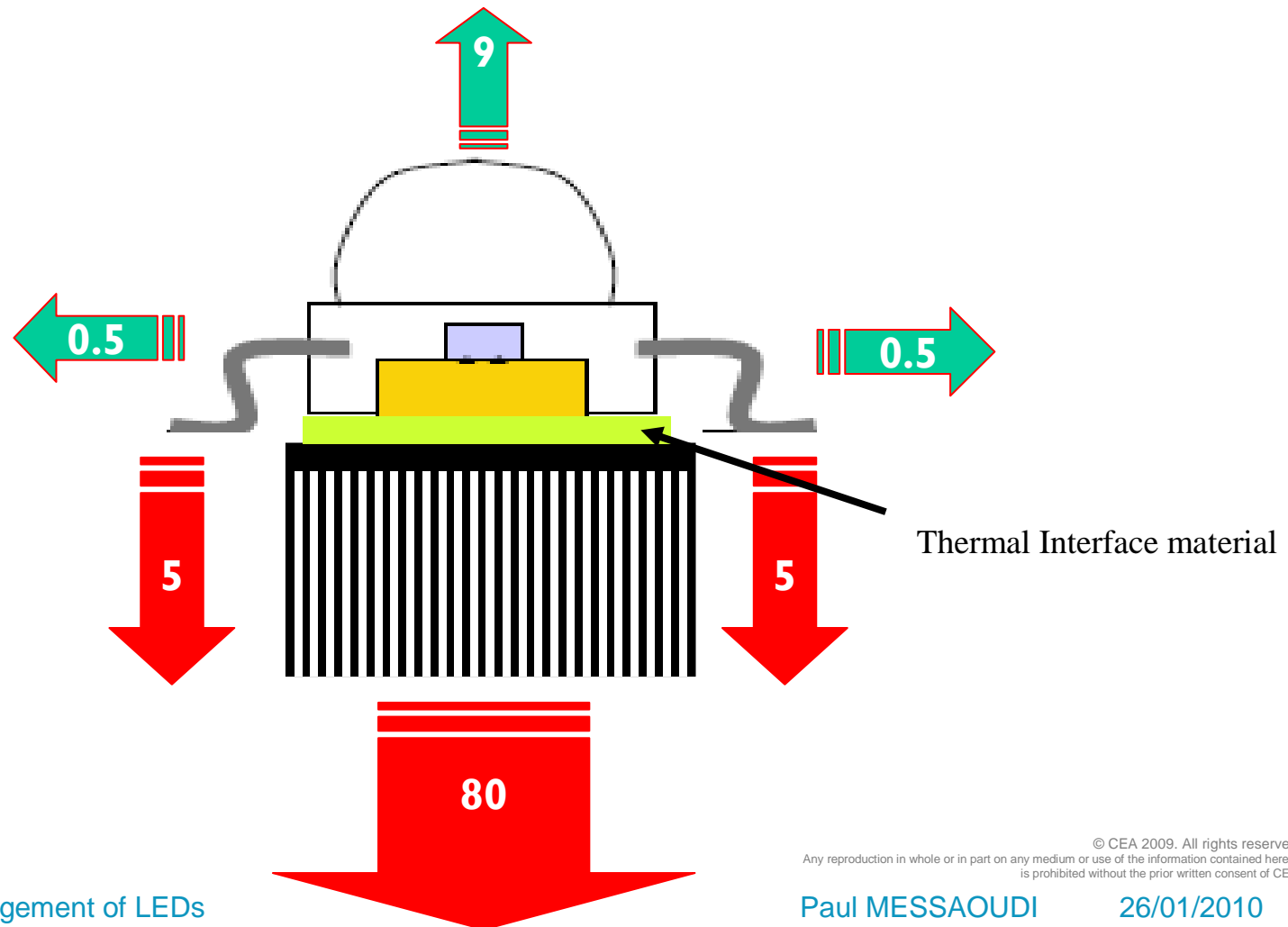
 - **Conduction** is the most effective mode $\rightarrow \sim 90\%$



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Thermal effects – Thermal transfers

- Heatsink effect on the thermal conduction



Thermal effects – Thermal modeling

Linear behavior or Steady-state modeling

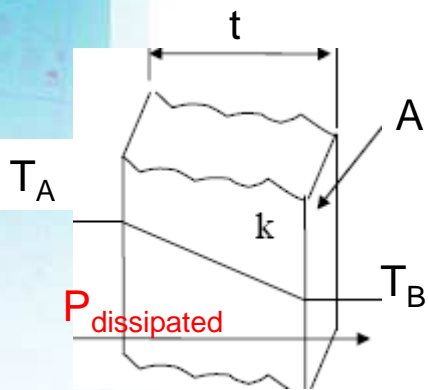
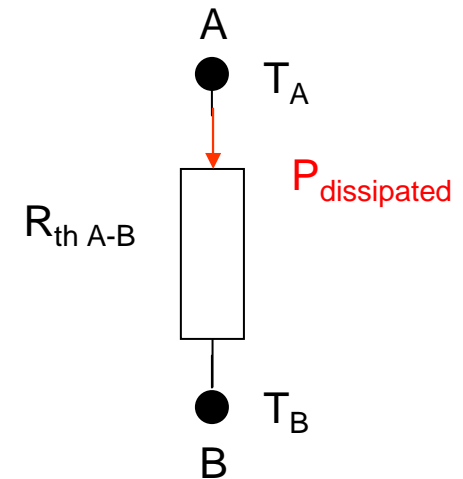
- Thermal conduction (electrical analogy)

$$\Delta T_{A-B} = R_{th\ A-B} \times P_{dissipated}$$

- ΔT , thermal gradient between A and B ($^{\circ}\text{C}$)
- P , dissipated power (W)

$$R_{th} = \frac{t}{A \times \kappa}$$

- R_{th} , thermal resistance ($^{\circ}\text{C}/\text{W}$), depends on surface A , thickness t and material's thermal conductivity κ

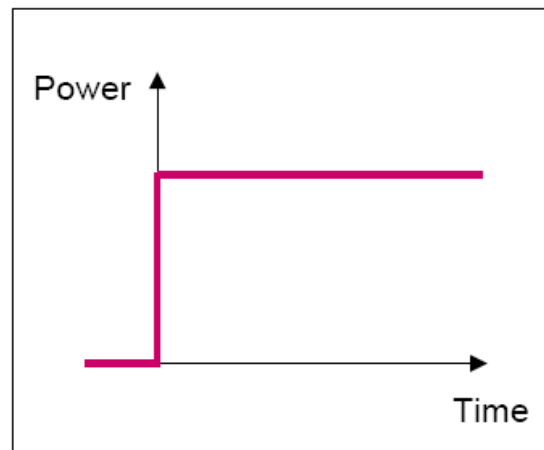
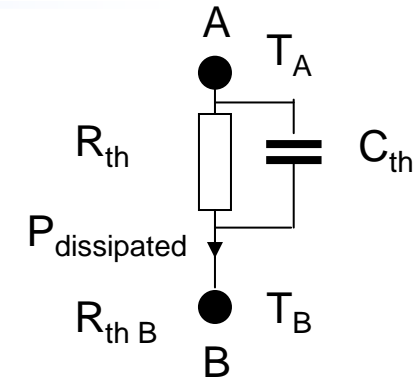


Thermal effects – Thermal modeling

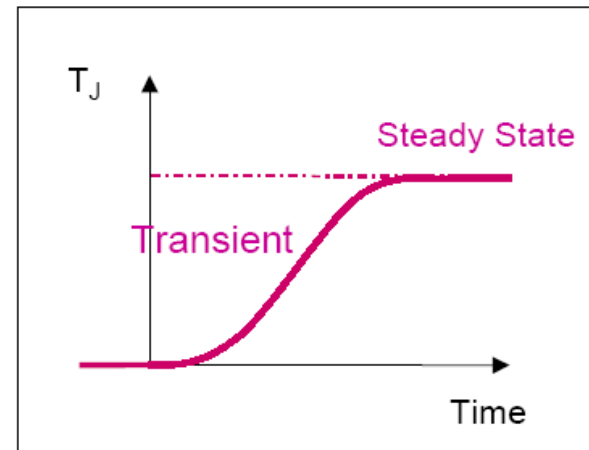
■ Dynamic behavior or Transient modeling

- Thermal conduction (electrical analogy)

$$\Delta T_{A-B} = T_{Junction} = P_{dissipated} \times R_{Th} \left(1 - e^{\frac{-\Delta t}{R_{th} C_{Th}}} \right)$$



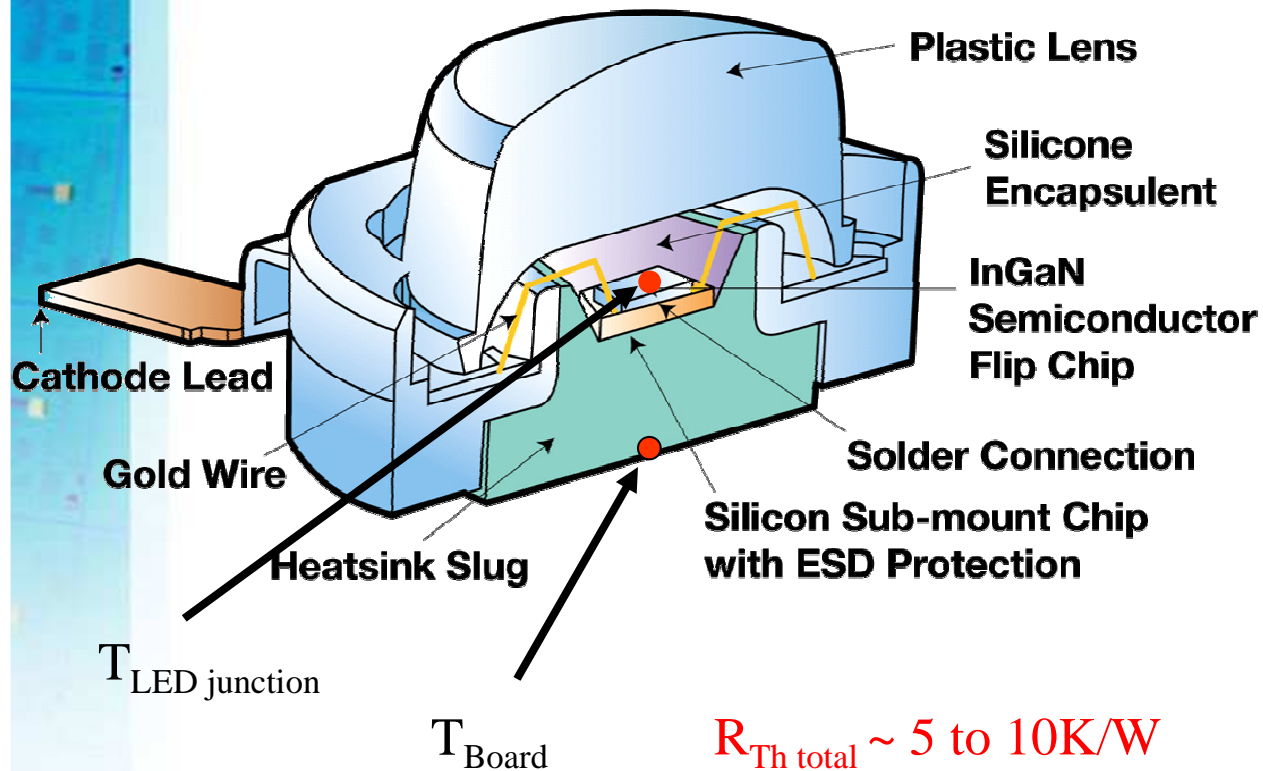
(a) Power input Step



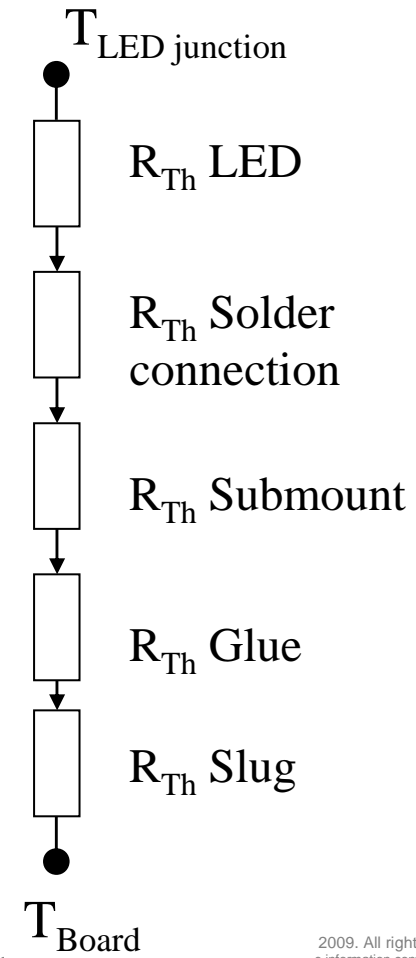
(b) Response function

Thermal effects – Thermal modeling

- Rth of the overall assembly of a K2 LED from Lumileds



$R_{Th\ total} \sim 5\ to\ 10K/W$



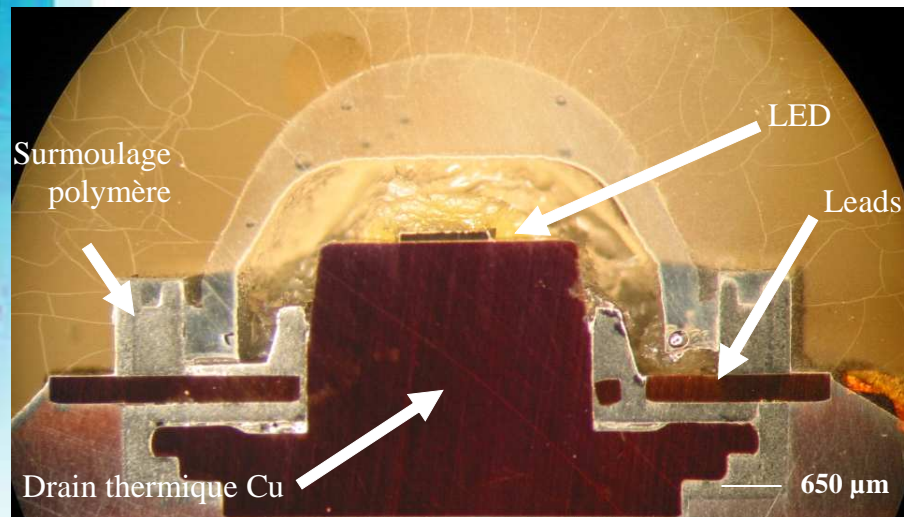
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Thermal effects – Thermomechanics

■ Thermomechanical behavior

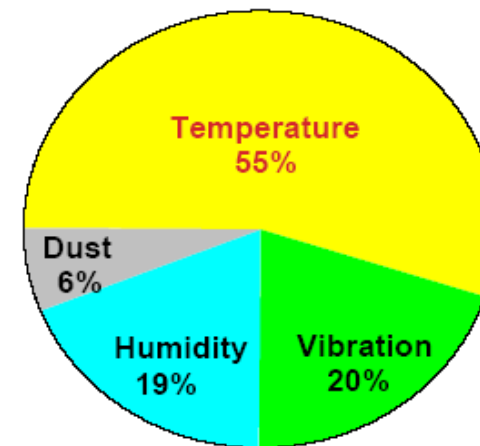
- LED module assembly
 - ◆ Metals, Semiconductors, Ceramic and Polymers altogether



Slice of Luxeon K2 from Lumileds

Source : CEA

Principal causes of electronic system failures



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Thermal effects – Thermomechanics

■ Thermal dilatation coefficient : α

- displacement and the strain due to ΔT

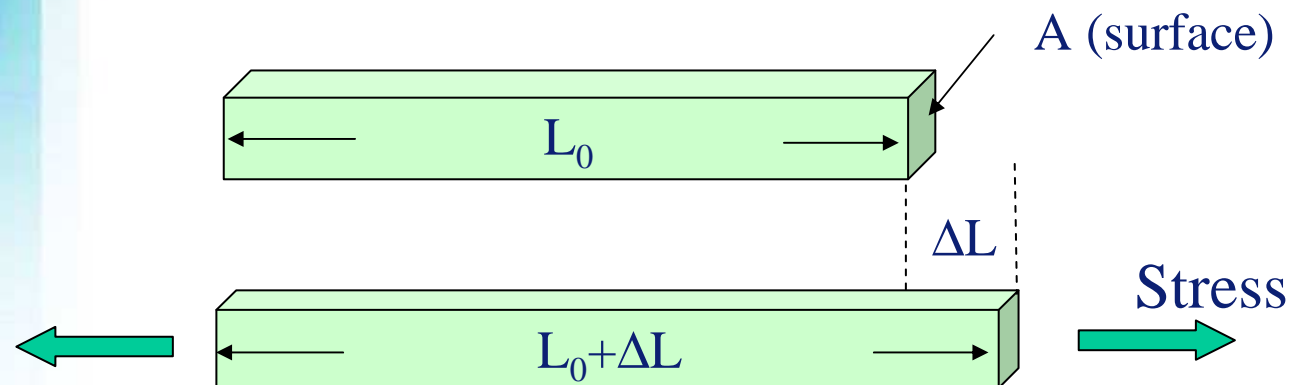
$$\varepsilon = \frac{\Delta L}{L} = \alpha \times \Delta T$$

$$\Delta L = \alpha \times \Delta T \times L$$

■ Induced thermal strain : beware of CTE mismatch !!!

$$\sigma = E \times \varepsilon \quad (\text{elastic behavior – Hooke law})$$

$$\text{so } \sigma = \alpha \times E \times \Delta T$$



Aluminum :

$$\alpha = 24 \text{ppm}/^\circ\text{C}$$

Copper :

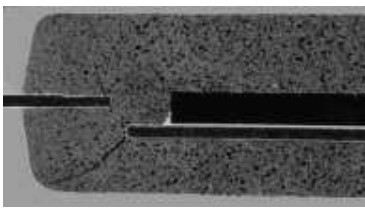



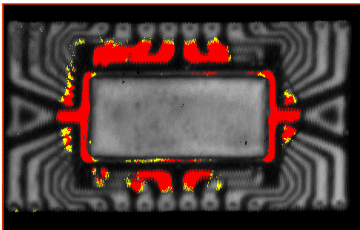

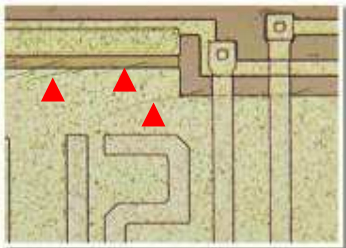
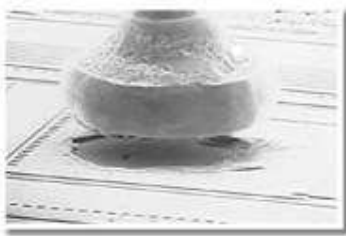
$$\alpha = 17 \text{ppm}/^\circ\text{C}$$

Silicon :

$$\alpha = 4 \text{ppm}/^\circ\text{C}$$


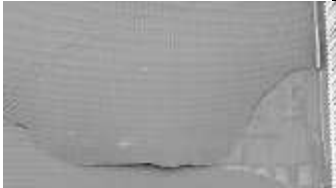
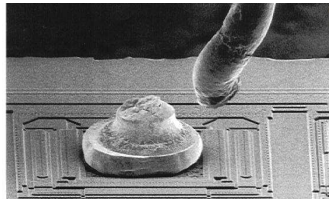
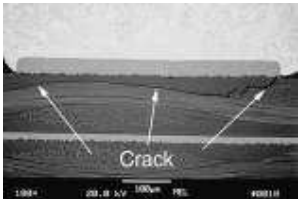
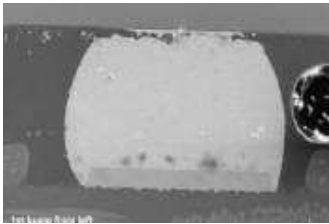
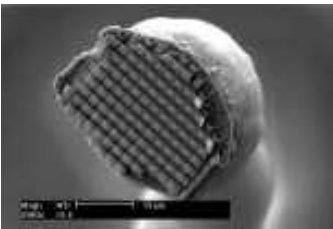
Thermal effects – Thermomechanics

Failure modes in electronics

1: Package Crack		5: Die Crack	
2: Excessive Warpage		6: Die Lift	
3: Delamination		7: Stitch Break	
4: Passivation Crack		8: Bond ball lift	

Thermal effects – Thermomechanics

Failure modes in electronics

<p>9: Broken Wire</p>		<p>12: Delaminating layers in metal IC stack</p>	
<p>10: Ball Neck Break</p>		<p>13: Substrate Cracks</p>	
<p>11: Solder Ball Fatigue</p>		<p>14: Metal Peel Off in Bondpad</p>	

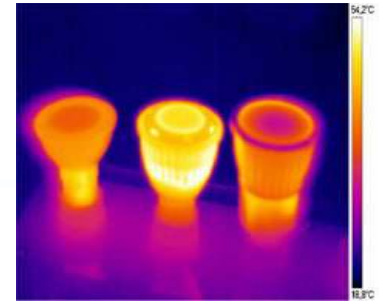
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Outline

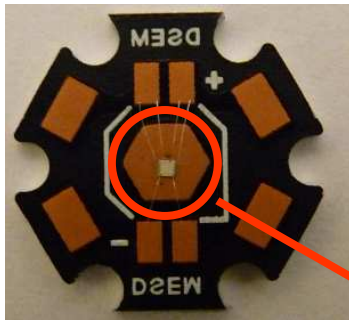
- Introduction to LED technology
- Thermal effects in LEDs
- **Advanced characterization of LEDs**
- Applications of LEDs
- Advanced thermal management
- Conclusion

Advanced characterization

- Non-Destructive Testing :
 - Infrared Thermography



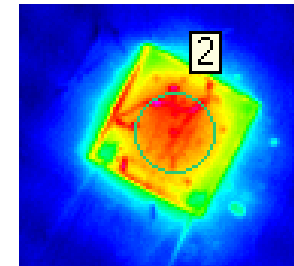
Source : Massol



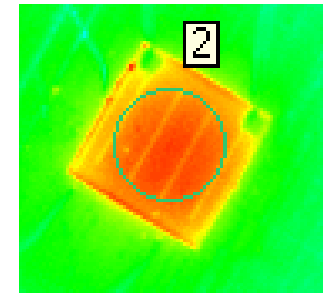
Source : CEA



In soldering



AuSn soldering



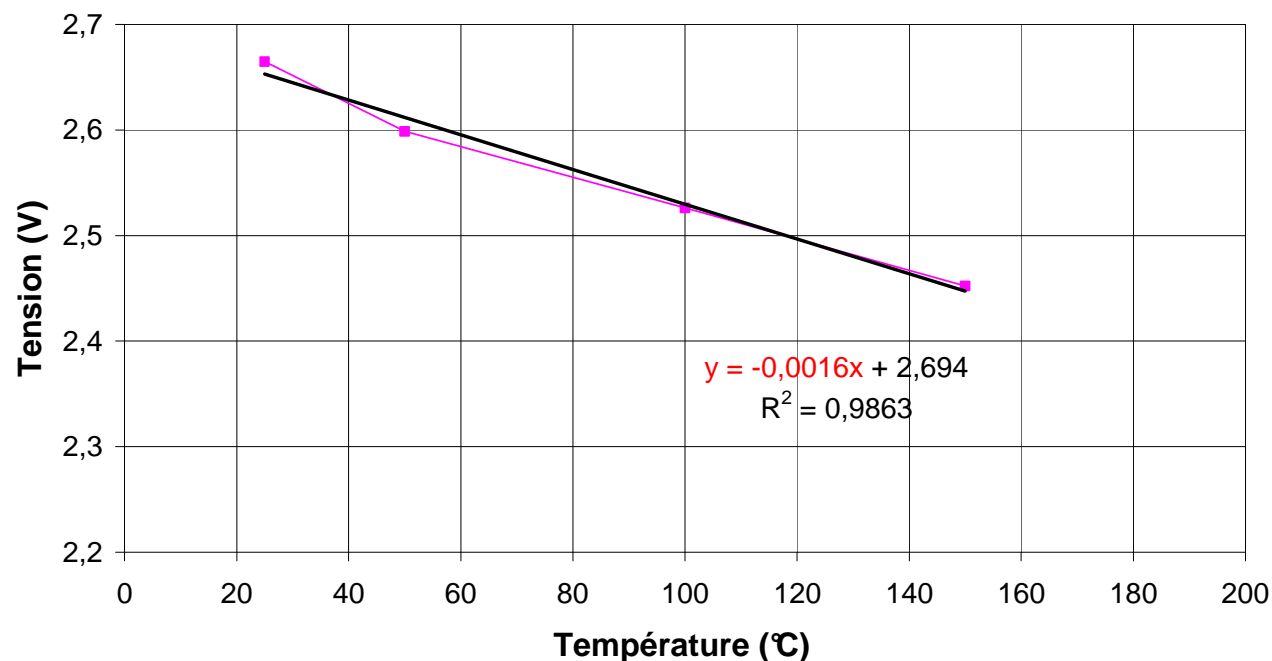
- Only pictures of the surface
- Difficult interpretation when multiple material are involved in the system
 - ◆ Relative emissivity is a material property

Advanced characterization

■ Non-Destructive Testing :

- Voltage drop measurement

Effet de la température sur la diode bleue ($I_{LED\ Bleue}=1\text{mA}$)



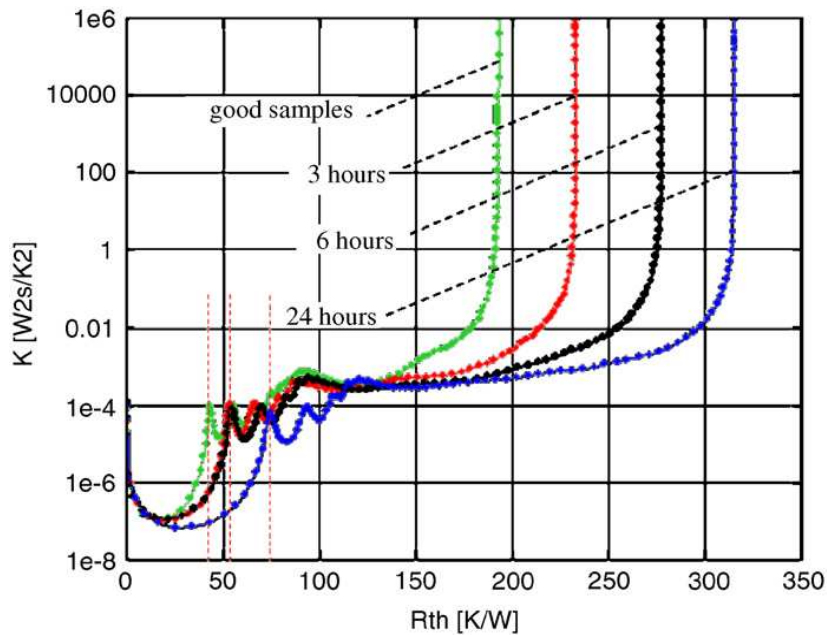
$$\left. \frac{d(V_{LED\ Bleue})}{dT} \right|_{I=1\text{mA}} \approx -1,6\text{mV} \cdot ^\circ\text{C}^{-1}$$

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Advanced characterization

■ Non-Destructive Testing :

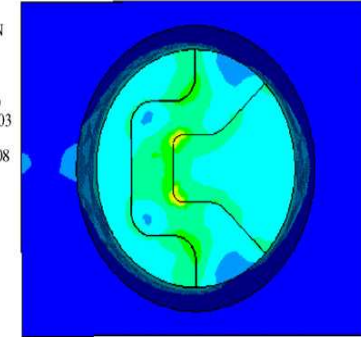
- Voltage drop measurement
- ◆ T3Ster from Micred



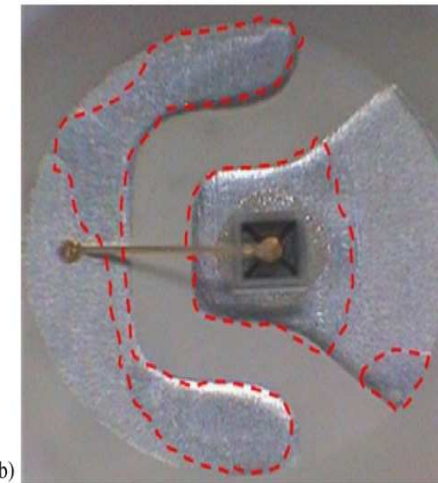
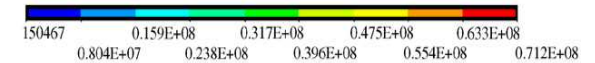
Differential structure function of LEDs package under a risk of delamination

Source : Mechanism and thermal effect of delamination in light-emitting diode packages, Jianzheng Hu et al., *Microelectronics Journal* 38 (2007) 157–163

NODAL SOLUTION
 STEP=1
 SUB=1
 TIME=1
 SEQV (AVG)
 DMX = 0.257E-03
 SMN = 150467
 SMX = 0.712E+08



(a)



(b)

Thermal modeling and micrograph of a delaminated sample

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Outline

- Introduction to LED technology
- Thermal effects in LEDs
- Advanced characterization of LEDs
- **Applications of LEDs**
- Advanced thermal management
- Conclusion and perspectives

Applications of LEDs – Component choice

- Be careful with LEDs specifications
 - Light output : candela or lumen
 - Cold/hot factor and lumen maintenance
 - Thermal Resistances and Maximum bearable temperature
 - ◆ Datasheets from Lumileds

	Temp. de jonction max	Temp. de boitier max
LUXEON® I/III AlInGaP	120/135 °C	120 °C
LUXEON® I/III InGaN	135 °C	120 °C
LUXEON® K2 AlInGaP	150 °C	135°C
LUXEON® K2 InGaN	185/150 °C	170/135 °C
LUXEON® Rebel AlInGaP	135 °C	120°C
LUXEON® Rebel InGaN	150 °C	135°C

	Résistance thermique
Luxeon K2 InGan	9 °C/W
Luxeon K2 AlInGaP	12 °C/W
Luxeon K2 TFFC	5,5 °C/W
Luxeon Rebel InGaN	10 °C/W
Luxeon Rebel AlInGaP	12 °C/W

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Applications of LEDs

■ Chip-on-Board

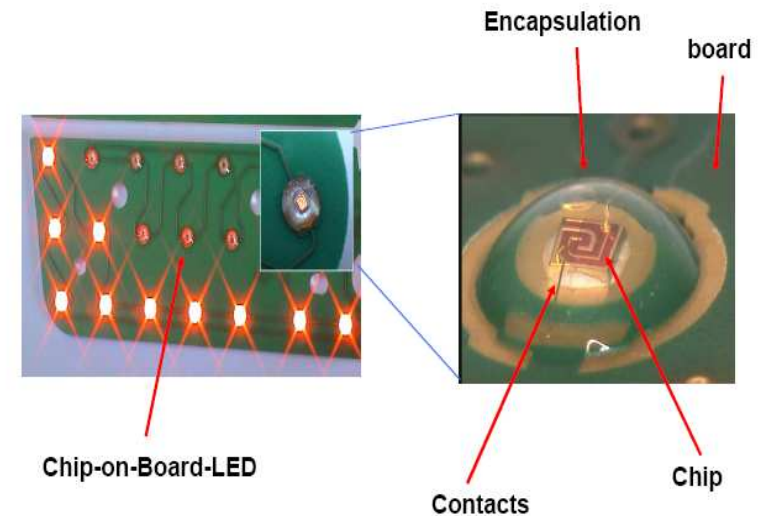
- Packaging-free technology

- Advantages

- ◆ Low-cost solution
- ◆ Flexible Design
- ◆ Reduced thermal interfaces

- Challenges

- ◆ Need to supply LED crystal
- ◆ Need of managing LED assembly techniques
- ◆ Improvement of the thermal spreading
- ◆ Use of highly efficient PCBs



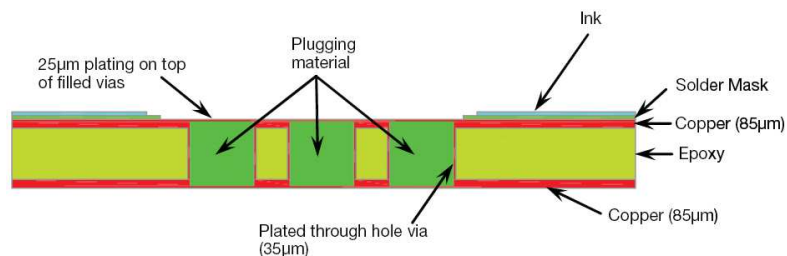
Source : GLI

Applications of LEDs – PCB choice

■ Relevant Printed Circuit Boards (PCB) for LEDs

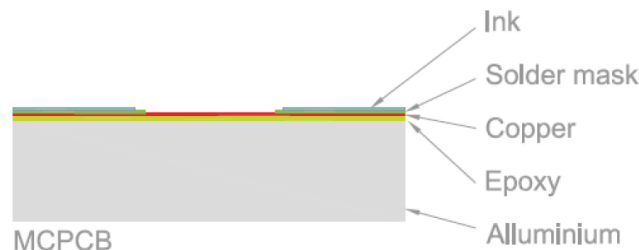
- PCB with vias (filled or capped)

$$R_{th} \sim 5K/W$$



- Metal Core PCB

$$R_{th} \sim 3,5K/W$$

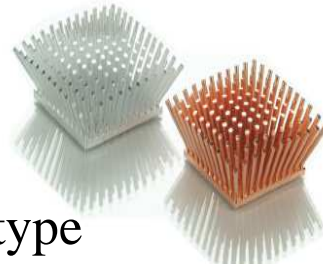


■ Flex PCB generally not recommended

- Unless having very thick copper layers for heat dissipation

Applications of LEDs – Heatsink choice

■ Heatsink types



Pin fin type



Fin type

■ Heatsink thermal resistance

- ◆ Exchange area A of the heatsink
- ◆ Heat transfer coefficient HTC of the convection
 - $HTC = 5$ to $20\text{W/m}^2\cdot\text{K}$ under natural convection (indoor and not confined)
 - $HTC = 50$ to $1000\text{W/m}^2\cdot\text{K}$ and more with forced convection (outdoor or with fans or fluid cooling)



Source : Lamina SoL

$$R_{th \text{ heat sink}} = \frac{1}{HTC \times A}$$

$P=8\text{W}$ and $A\sim 100\text{cm}^2$ \implies If $\Delta T\sim 50^\circ\text{C}$, $R_{th}\sim 6\text{K/W}$ so $HTC\sim 15\text{W/m}^2\cdot\text{K}$

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Applications of LEDs - Retrofit



LED challenges for Halogen replacement

- PACTELED project

	20W Halogen MR16	5W LED MR16
Luminous efficiency (lm/W)	12	50
Power Supply	DC or AC	DC
Light spectrum	continuous	discrete
Lifetime (h)	2000 to 5000	30000
Main heat transfer mode	radiation	conduction
Max temperature (°C)	400	~60°C

Efficiency drops with high current and high temperatures

Beware of the transformer compatibility

Color Rendering Index (CRI) > 85 wanted

Need of a highly capable heatsink with extended surface heat exchange area :



TI

Source: Philips



Source: LSG



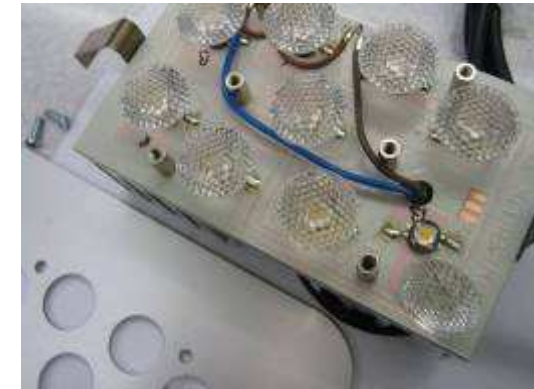
Source: Osram

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Applications of LEDs – LED luminaires

■ CITADEL project

- Examples of luminaires with multiple LEDs and various heatsinks
 - ◆ Few luminaires with relevant thermal management



Thermal Management of LEDs

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Outline

- Introduction to LED technology
- Thermal effects in LEDs
- Advanced characterization of LEDs
- Applications of LEDs
- **Advanced thermal management**
- Conclusion



Advanced thermal management

■ Material properties to enhance

- Interface materials are key parts for efficient cooling
- Difficulty to know their exact thermal properties
 - ◆ Need to have all these experimental methods

Microscopic analysis

- Scanning Electron Microscope (SEM)
- Atomic Force Microscope (AFM) Speckle,
- Dielectric Analyzer (DEA)
- Dynamic Mechanical Analyzer (DMA)
- Differential Scanning Calorimeter (DSC)
- Differential Thermal Analyzer (DTA)
- Thermogravimetric Analyzer (TGA)
- Thermomechanical Analyzer (TMA)
- CSAM, X-Ray Imaging,
- Wyko Measurement System

Strain Gauges and Extensometers

- Moire Interferometry
- Holography Interf.
- Speckle Correlation Methods
- Electronic Speckle Interferometer
- Twyman-Green Interferometer
- Shadow Moire, Projecting Moire
- Test Chip Technology
- MEMS Technology
- MicroDAC
- Raman Microscopy
- X-Ray Diffraction

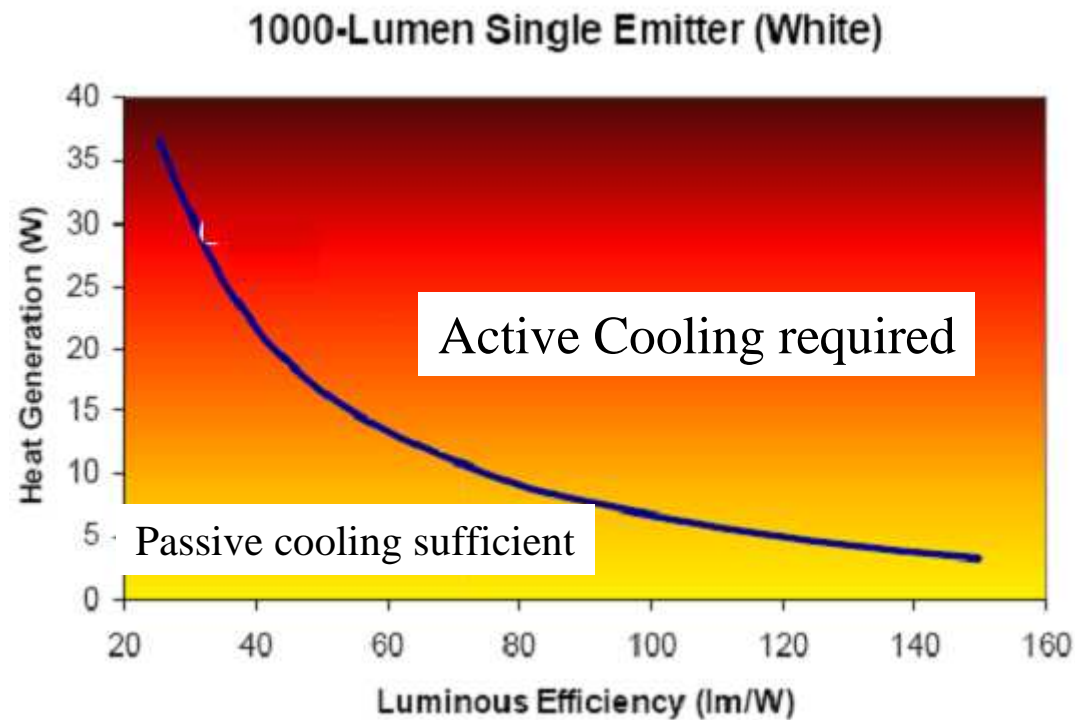
Test Machines

- Microforce Test System
- Micromechanical Test System
- Universal Strength Tester
- Micro Tensile Tester
- Nano Indenter
- Micro Mechanical Fatigue Tester
- Vibration Tester
- Drop and Impact Tester
- X-Ray Diffraction
- Raman Microscopy
- CSAM, X-Ray Imaging, Wyko Measurement System

Advanced Thermal Management

■ Active cooling

- It consumes power so use it carefully
- Above 70 lm/W and below 13W dissipation, active is not required for a 1000 lm lamp



Source : NXP

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Advanced Thermal Management

■ Active cooling examples

● Heatpipes

◆ NeoPac

- Copper heatpipe with radial fins

◆ CEA

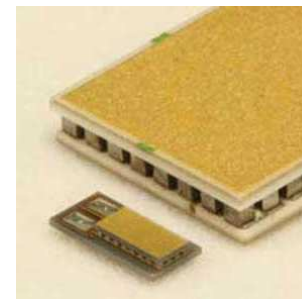
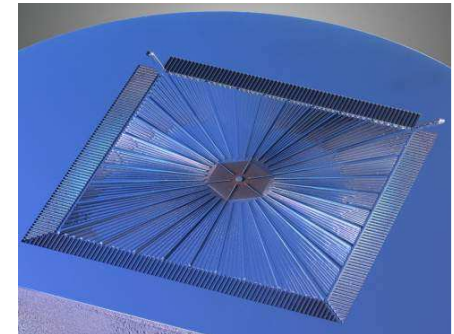
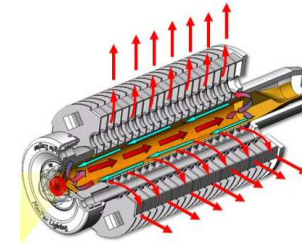
- Fully integrated Silicon heatpipe

● Peltier module

- ◆ OptoCooler from Nextreme

● Acoustic cooling

- ◆ Synjet from Nuventix



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26/01/2010

Outline

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Conclusion

- Effects of the thermal stresses on LEDs
 - Difficulty to use LEDs at nominal temperature (25°C)
 - Light output is sensitively shrunk
 - ◆ 80% to 50% of nominal intensity at $T=100^{\circ}\text{C}$
 - Color shifts to higher wavelength
 - ◆ Color consistency with RGB or phosphor converted white LEDs
 - Forward voltage drops
 - ◆ Need to drive LEDs with the current not the voltage
 - Lifetime is reduced
 - ◆ Less effective Semiconductor effect
 - ◆ Failures occur more rapidly

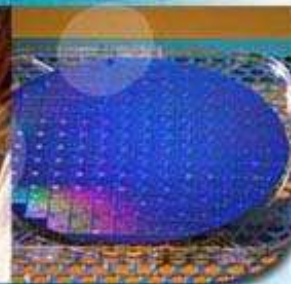
Conclusion

- **Passive cooling needed**
 - Use heatsinks and relevant PCB for mounting your LEDs

- **Active cooling option**
 - Use it to develop more power in tinier space
 - Always improve thermal conduction in your system before adding an active cooling device

- **Thermal management has to be firstly considered before designing LED application**
 - Think twice or ask a thermal expert !

micro and nanoelectronics
microsystems
ambient intelligence
biology and health
image chain



Thanks for your attention

Loyalty
Entrepreneurship
Team work
Loyalty Innovation
Entrepreneurship
Team work
Innovation

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