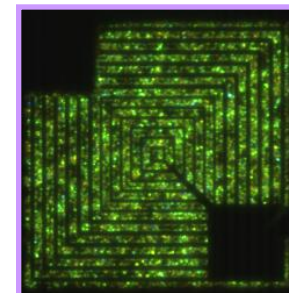
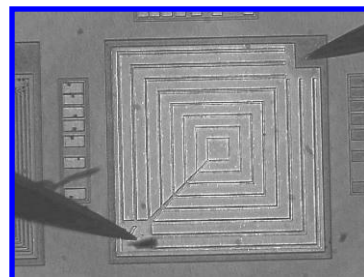
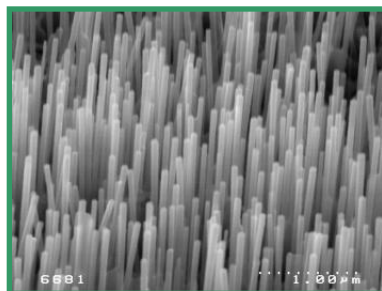
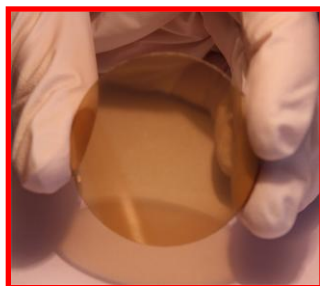




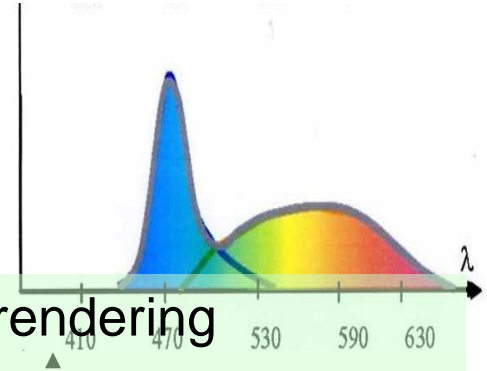
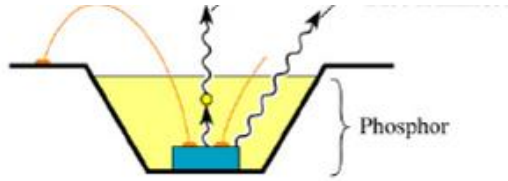
# Technologies émergentes pour les LEDs



Alex Lagrange  
LETI DOPT  
alexandre.lagrange@cea.fr

# HB-LEDs for general lighting

## Current LED device issues



Thermal quenching  
Lifetime

**Luminous efficiency**

Color rendering  
Down-conversion losses

**Thermal management**

**Colorimetry**

Substrate removal  
Thermal spreading

Phosphor packaging  
Binning

**Large size**

**Cost**

**System integration**

**Open issues ?**

- White generation approach
- Substrate, waferlevel process
- Current density / chip size (droop)

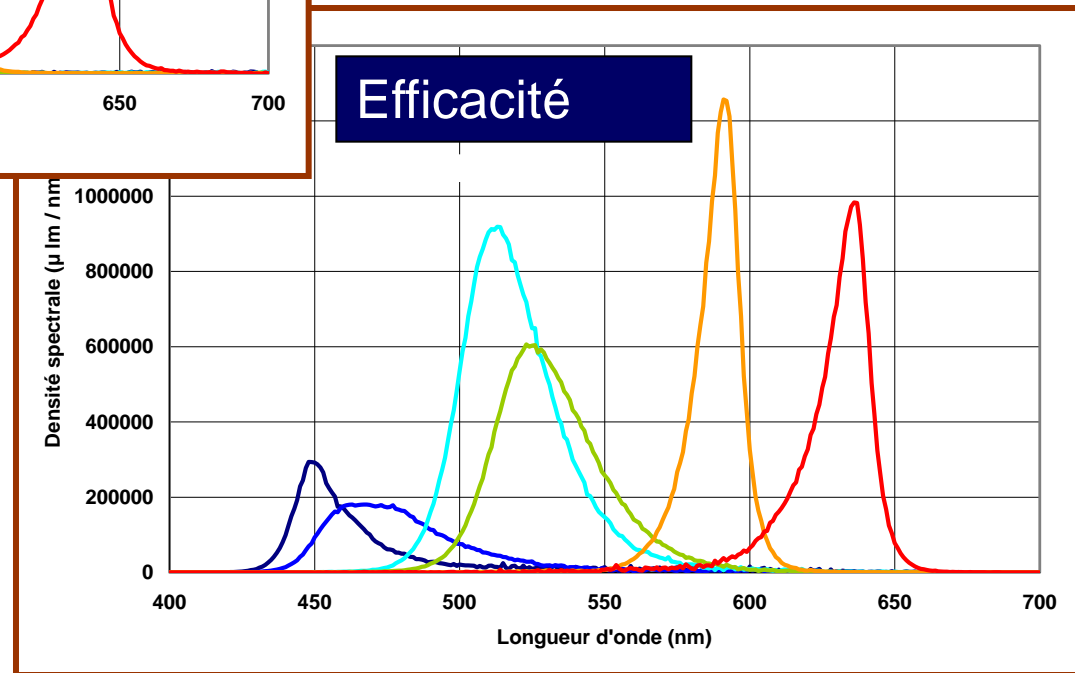
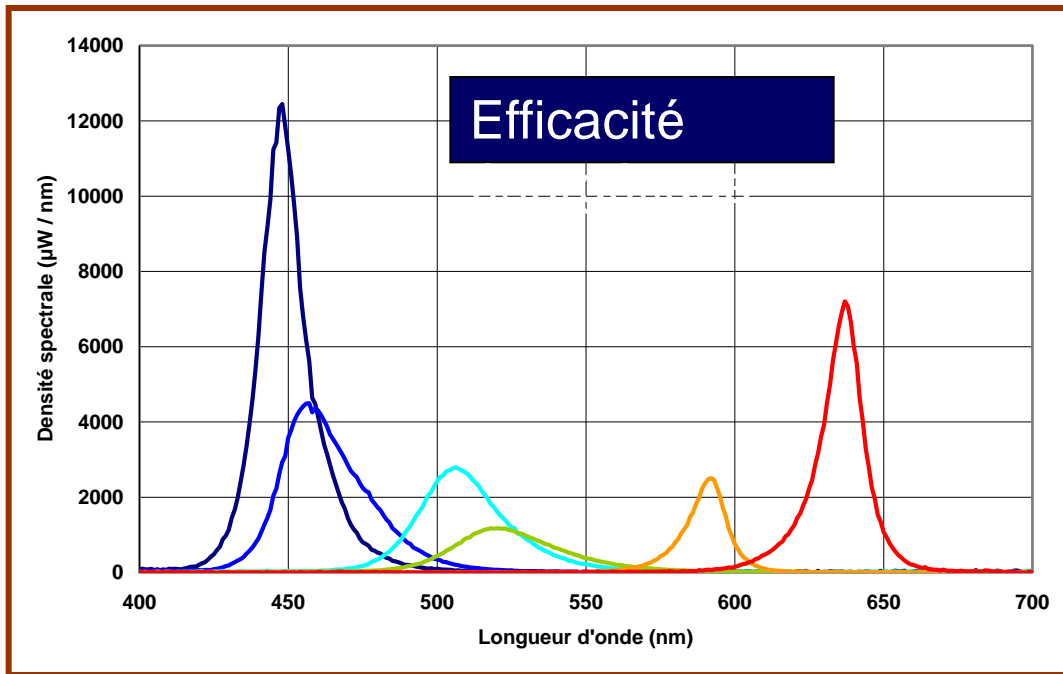
# L'efficacité énergétique globale

8 rendements qui se multiplient !

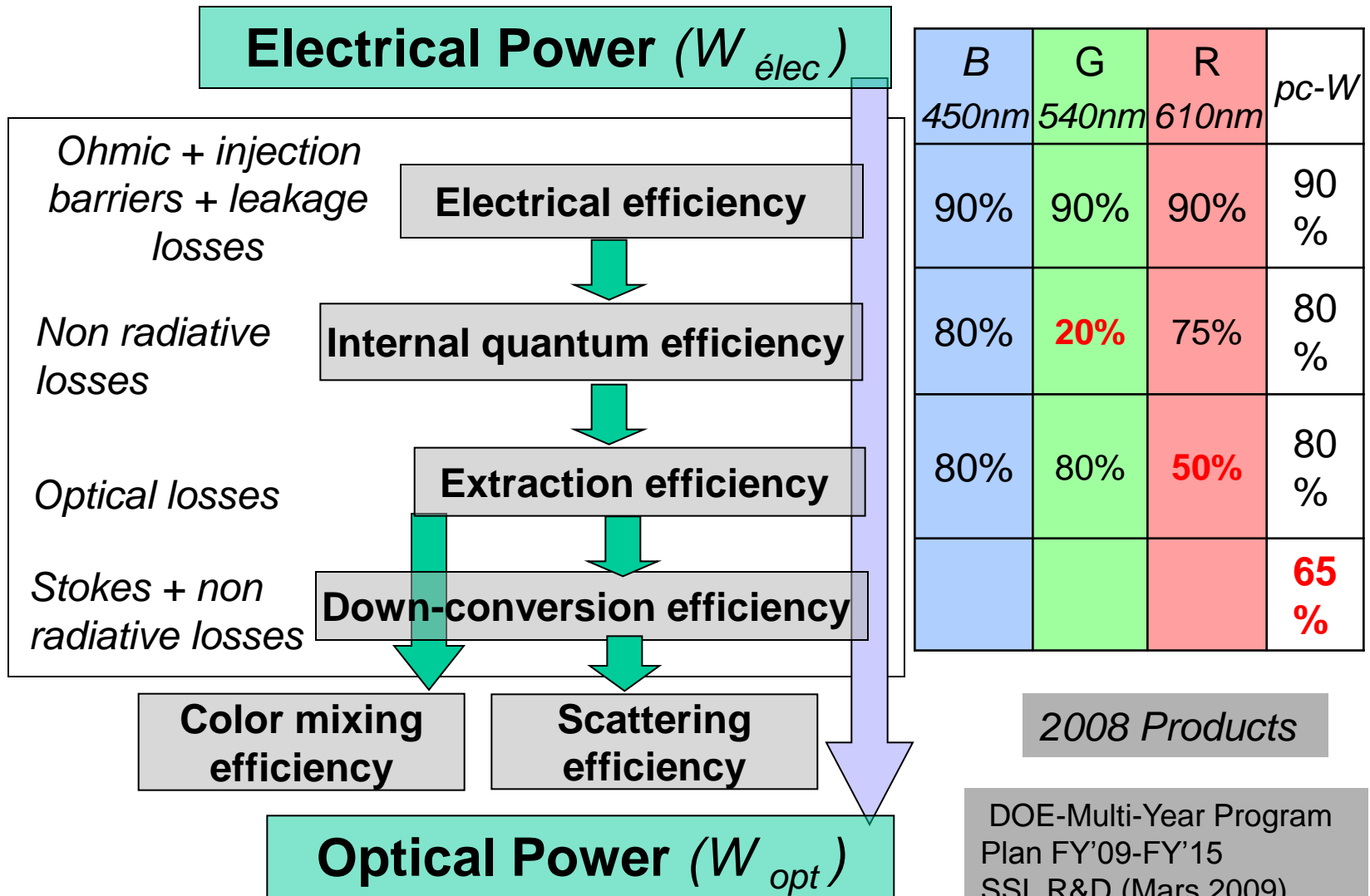
	Rendements élémentaires	2005	2008	Objectif 2015
Alimentation	Driver	85%	85%	92%
LED $T_{\text{jonction}} : 25^{\circ}\text{C}$ 4100K CRI : 80	Injection électrique	80%	90%	95%
	Quantique interne	60%	80%	90%
	Extraction	50%	80%	90%
	Conversion longueur d'onde	70%	65%	73%
	Diffusion	80%	80%	90%
Luminaire	Thermique	?	85%	95%
	Optique	70%	80%	92%
<b>Global</b>		<b>8%</b>	<b>17%</b>	<b>41%</b>

[http://apps1.eere.energy.gov/buildings/publications/pdfs/ssl/ssl\\_mypp2009\\_web.pdf](http://apps1.eere.energy.gov/buildings/publications/pdfs/ssl/ssl_mypp2009_web.pdf)

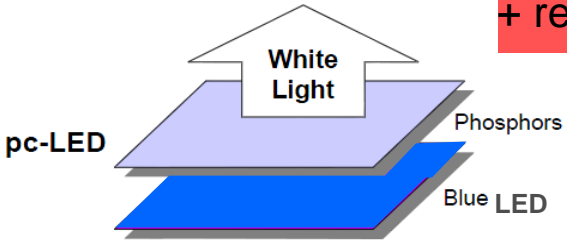
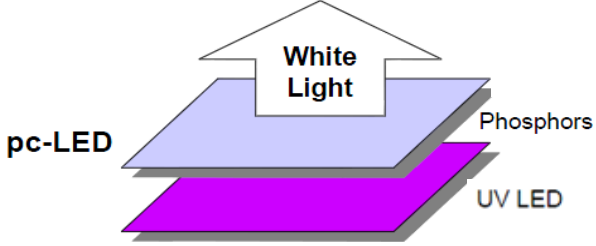
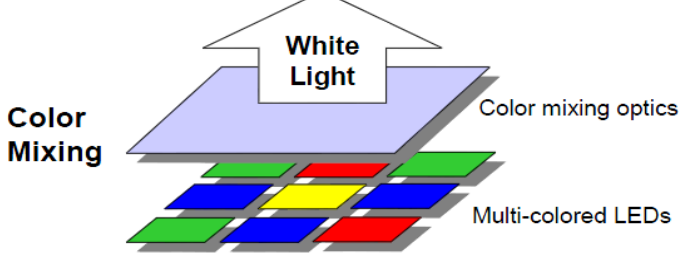
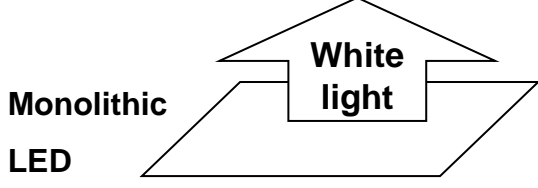
# Efficacité lumineuse et efficacité énergétique



# Contributions to LED device power efficiency

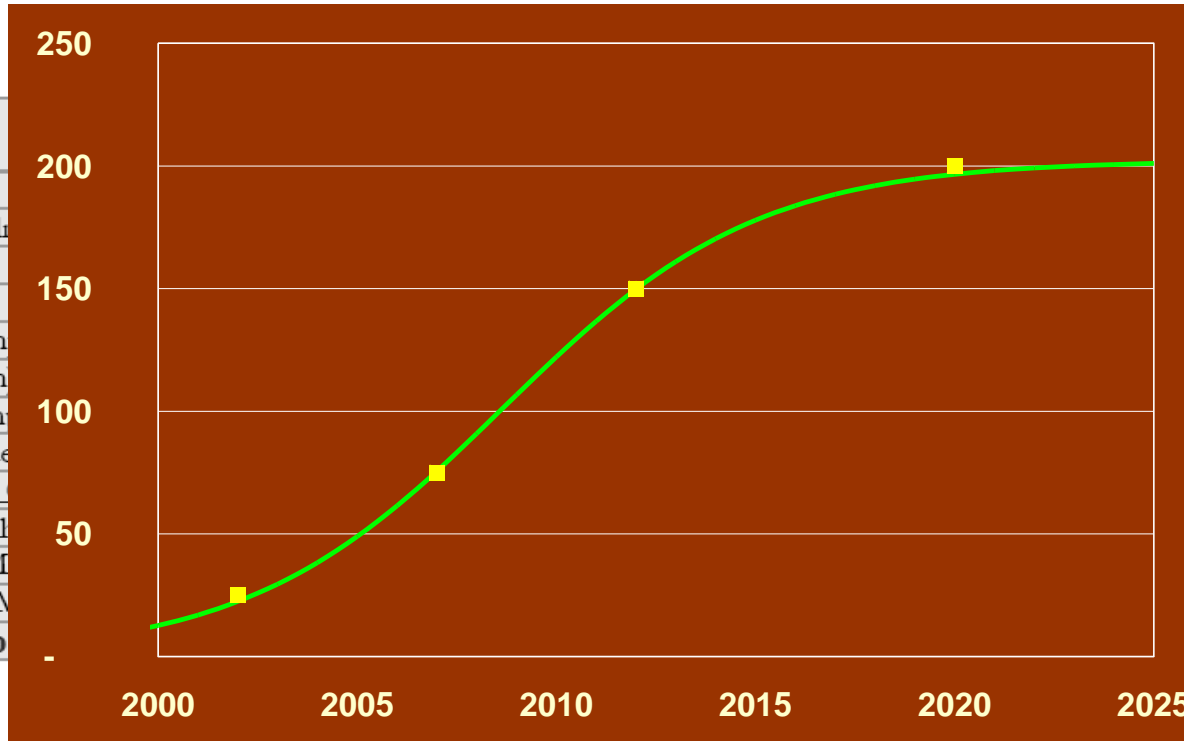


# Which approach for white light generation ?

White approach	Pros	Cons
<p data-bbox="807 235 1000 307">+ red LED</p>  <p data-bbox="309 392 415 421">pc-LED</p> <p data-bbox="569 307 666 364">White Light</p> <p data-bbox="763 378 879 399">Phosphors</p> <p data-bbox="763 449 859 478">Blue LED</p>	<p data-bbox="1023 264 1439 349">High efficiency blue LED available</p> <p data-bbox="1023 364 1304 406">Mass production</p>	<p data-bbox="1497 264 1816 307">Color binning yield</p> <p data-bbox="1497 321 1690 357">+ color drift</p>
 <p data-bbox="289 678 396 706">pc-LED</p> <p data-bbox="569 592 666 649">White Light</p> <p data-bbox="763 664 879 685">Phosphors</p> <p data-bbox="763 735 859 763">UV LED</p>	<p data-bbox="1023 535 1304 578">Lower color drift</p> <p data-bbox="1023 592 1458 671">Wider range of phosphors / CRI</p> <p data-bbox="1023 685 1304 728">No color binning</p>	<p data-bbox="1497 535 1796 578">More Stokes loss</p> <p data-bbox="1497 592 1874 671">No efficient n-UV LED available</p> <p data-bbox="1497 685 1777 728">UV compatibility</p>
 <p data-bbox="270 935 376 1006">Color Mixing</p> <p data-bbox="550 863 647 921">White Light</p> <p data-bbox="743 935 956 956">Color mixing optics</p> <p data-bbox="743 1035 956 1056">Multi-colored LEDs</p>	<p data-bbox="1023 828 1284 871">No Stokes loss</p> <p data-bbox="1023 885 1429 928">No phosphor packaging</p> <p data-bbox="1023 942 1313 985">Better CRI / LER</p>	<p data-bbox="1497 828 1883 871">No efficient green LED</p> <p data-bbox="1497 885 1709 928">Color mixing</p> <p data-bbox="1497 942 1796 985">Red AlInGaP drift</p>
 <p data-bbox="289 1220 454 1306">Monolithic LED</p> <p data-bbox="589 1178 685 1249">White light</p>	<p data-bbox="1023 1120 1284 1163">No Stokes loss</p> <p data-bbox="1023 1178 1342 1256">Simple wafer level packaging</p>	<p data-bbox="1497 1120 1729 1163">Technology ?</p> <p data-bbox="1497 1178 1719 1220">Color control</p>

# La roadmap de « l'Optoelectronics Industry Development Association »

lm/W



<b>LAMP TARGETS</b>	
Luminous Efficacy (lm/W)	200
Lifetime (hr)	20,000
Flux (lm/lamp)	36,000
Input Power (W/lamp)	400.0
Lamp Cost (in \$/klm)	1.5
Lamp Cost (in \$/lamp)	60.0
Color Rendering Index	75
<b>DERIVED LAMP</b>	
Capital Cost [\$ / Mlmh	0.18
Operating Cost [\$ / Mlmh	0.82
Ownership Cost [\$ / Mlmh	1.00

Fluorescent	HID
85	90
10,000	20,000
3,400	36,000
40.0	400.0
1.5	1.0
60.0	35.0
75	80
0.18	0.05
0.82	0.78
1.00	0.83

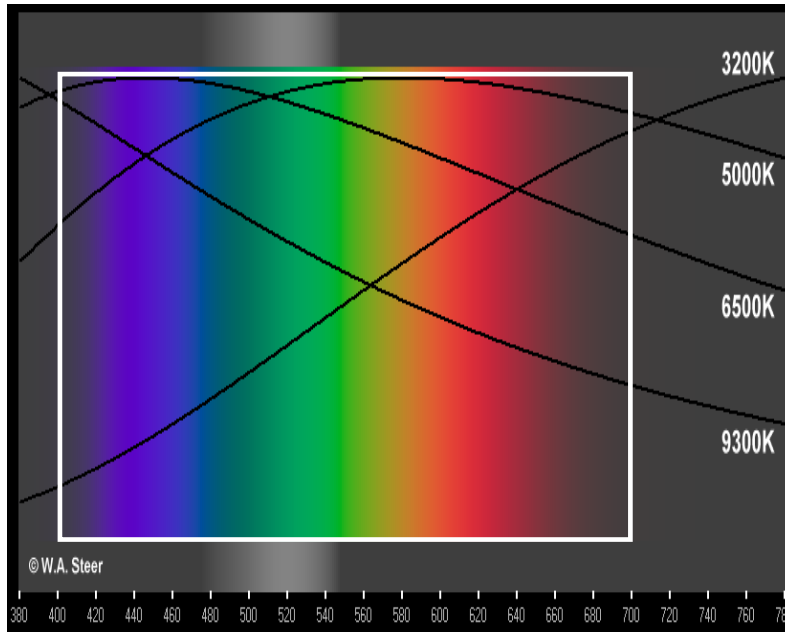
Table 1: Roadmap

Lighting Technologies.

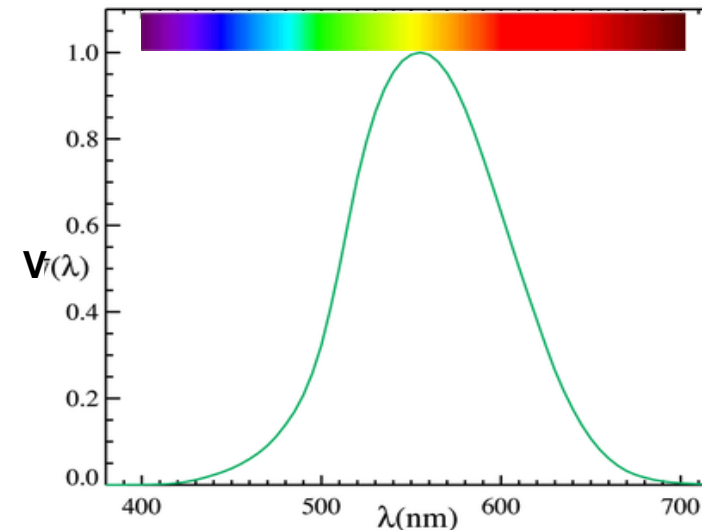
# Spectral distribution, Luminous efficacy and CRI

## Soft spectral variation sources simulation

- « Optimized » black-body (400-700nm)



Max. = 683 lm/W @ 555nm



LER ~250 lm/W (2800-6500K) – CRI =100

- Equal energy spectrum (400-700nm)

LER ~240 lm/W – CRI = 95

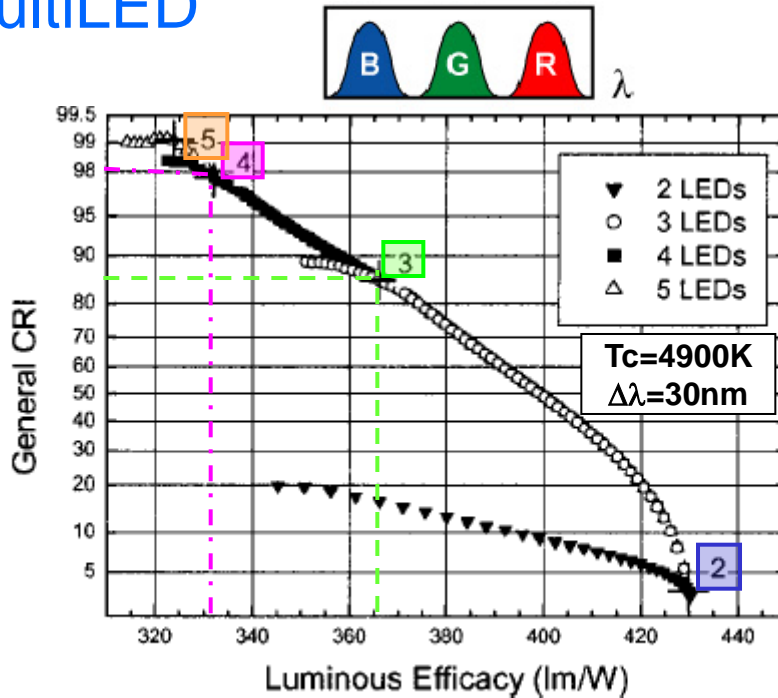
*Simonot & Camelio  
(Lux, 2009)*

➤ Very high CRI but limited luminous efficacy of radiation



# Need for efficient green or UV LED

- MultiLED



**3 LEDs** : LER ~300-360 lm/W  
CRI =85-93

➤ MultiLED requires  
**efficient green LEDs**

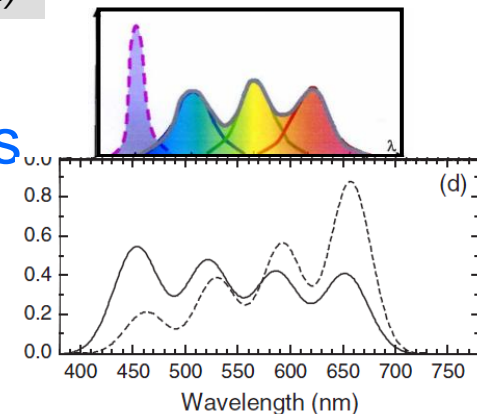
Zakauska (2002)

- Blue or UV LED + 2 to 4 phosphors

**LED + multi phosphor**

Zakauska (2008)

CRI >95 for 3000 or 6500K / 270 lm/W



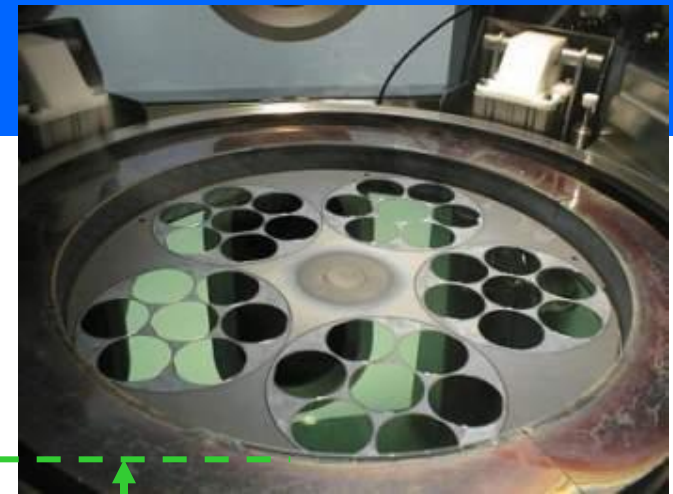
# Reachables Values and conditions

- For a CRI of 90 the maximum efficacy is 408lm/W, 4 colors at 1nm optimised
- Optimised Wavelength , R 614nm Y 573nm G 530nm B 463nm
- Relative Intensity R 1.4 Y 1 G 1 B 0.6
- Red zone is the most sensitive for efficiency
- The reachable value is 70% that means luminous efficiency of 286lm/W
- With low CRI 60 the possible value is 438lm/W

Research challenges to ultra-efficient inorganic solid-state lighting  
*Laser and photonics Rev 1 N° 2007*

- Technological issues

# Hétéroépitaxie sur saphir



Electrode semi-transparente

NiAu (contact p)

Mg:GaN (dopé p)

TiAl (contact n)

Si:GaN (dopé n)

GaN

Saphir ( $\text{Al}_2\text{O}_3$ ) ou  
Carbure de Silicium (SiC)

qq  $\mu\text{m}$

qq 100nm

4500 LED  $1\text{mm}^2$  sur  
une plaquette de 3"

Région  
active avec  
puits  
quantiques



# Lack of Substrate

⇒ growth on Sapphire, Silicon Carbide, Silicon, ...

## Very large lattice mismatch

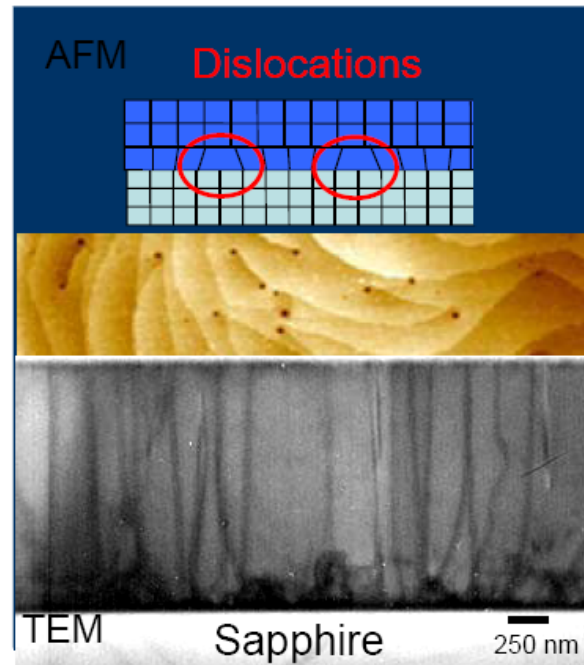
$\text{Al}_2\text{O}_3$  (0001): +16 %

6H-SiC (0001): -3.5 %

Si (111): -17 %

## High density of dislocations

$10^8$ - $10^{10}$   $\text{cm}^{-2}$



N. Grandjean, EPFL, Forum LED 3/12/2009



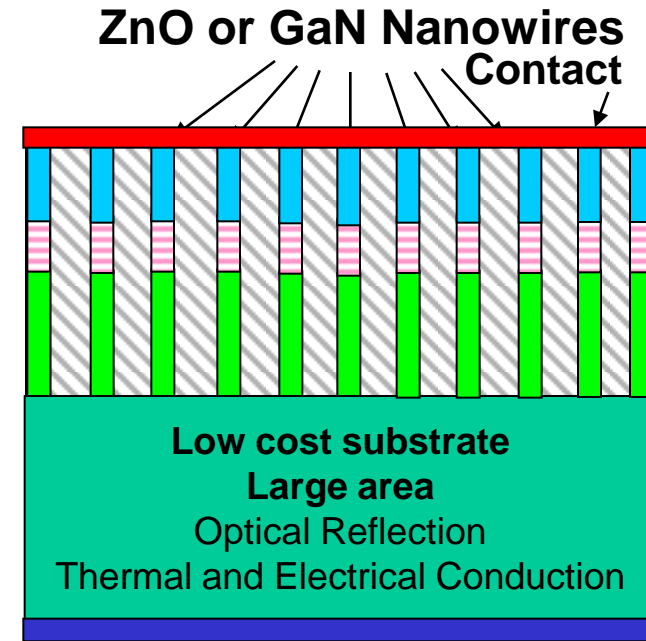
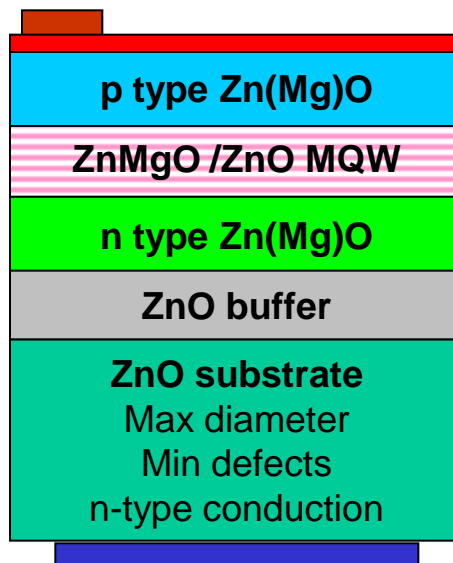
## Disruptive technologies and materials

- **Nanowire LEDs**
  - Green emission
  - Silicon substrate
  - High light extraction efficiency
- **ZnO LEDs**
  - Alternative materials (Zn, O, Mg)
- **GaN on silicon (not treated here)**
  - Super lattice buffer layers
  - Nanostructures

# Front-end selected disruptive approaches

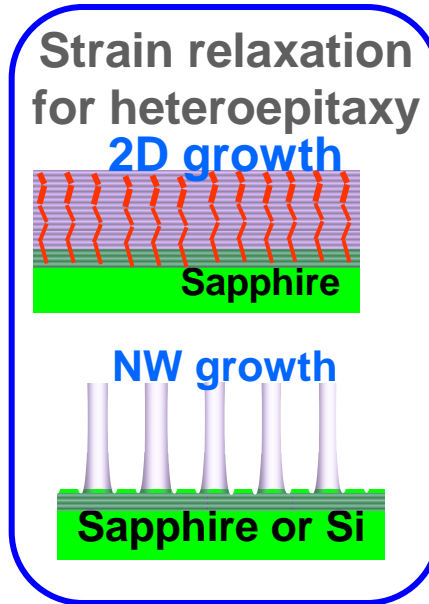
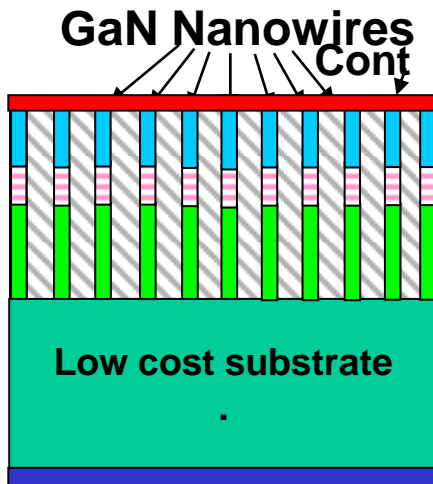
« Full ZnO »  
planar  
LED

Wide band gap SC ZnO  
or GaN Nanowire  
based LEDs



- Structural defects minimized
- Potentially large area substrates

# Nanowire LEDs : motivation



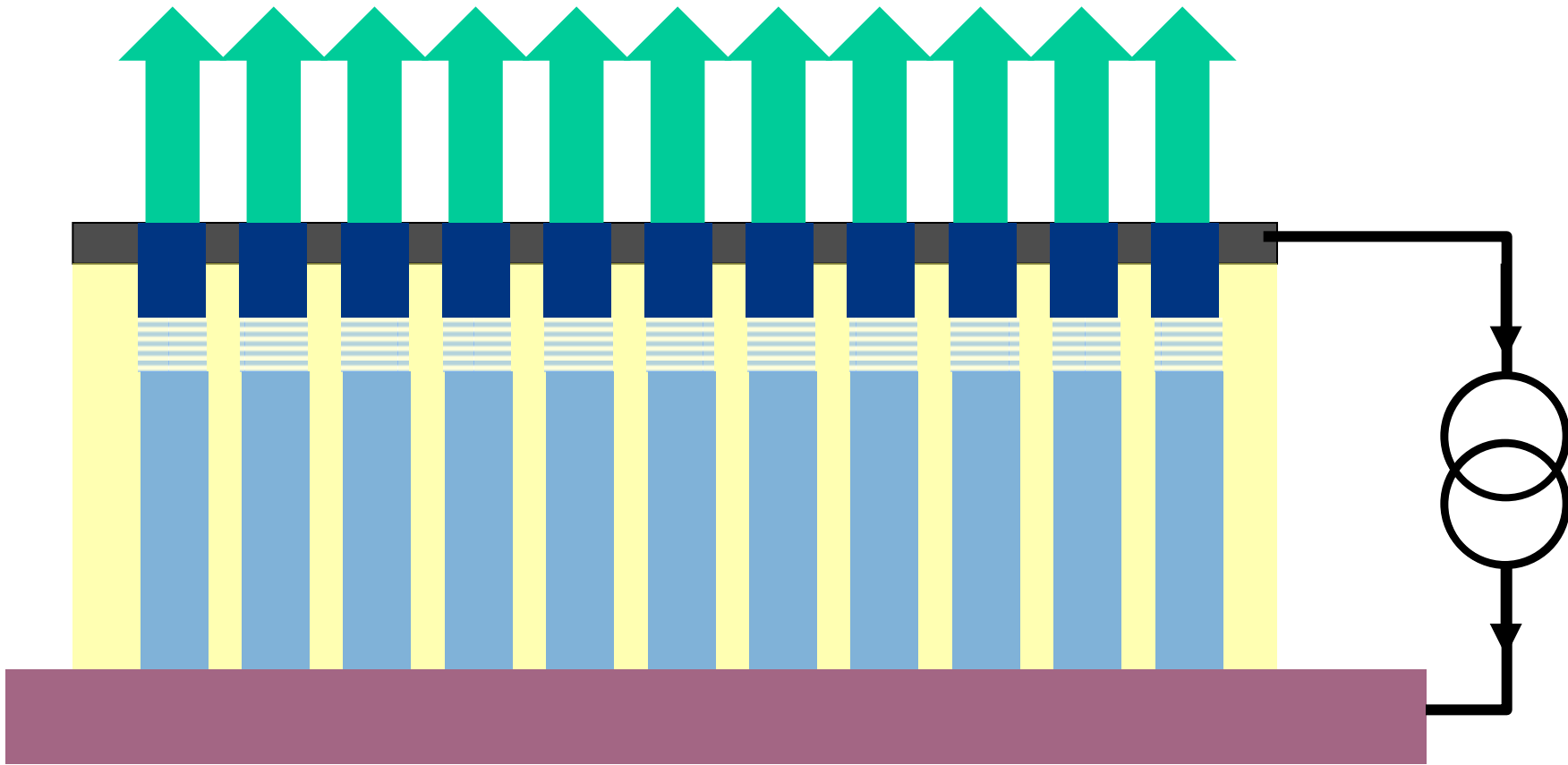
Specific integration for contacting

NW / power density

- ❑ Reduced non-radiative defects density
- ❑ Lattice and CTE mismatch allowed with substrate
  - Wide range of substrates for growth : large area / low cost
  - Thermally & electrically conductive, reflective, flexible
- ❑ Possible higher In concentration in InGaN : color range
- ❑ Direct high extraction efficiency without costly process
- ❑ Possible non polar core-shell heterostructures



# NanoLED specificity



# Nanowire LED: State of the Art

## ✓ First InGaN/GaN MQWs heterostructures in 2004:

- MOVPE (*Kim*)
- MBE (*Kishino*)
- ✓ EL spectra obtained from blue to red

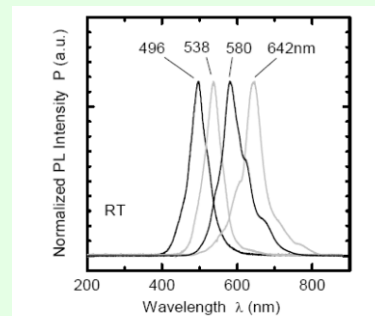
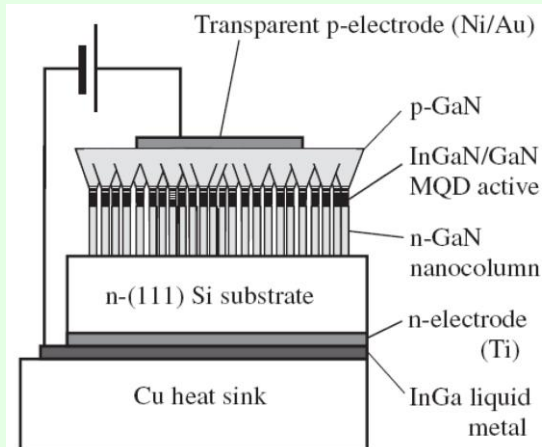
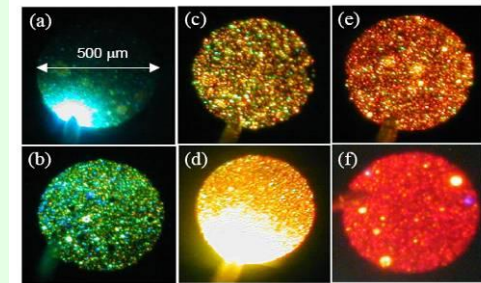


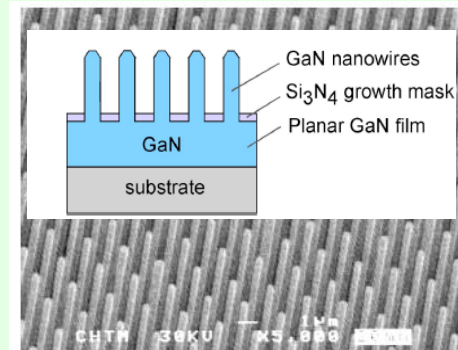
Figure 10: Typical room temperature emission spectra of InGaN MQD nanocolumn LEDs with various emission color of blue (496 nm), green (538 nm), yellow (580 nm) and red (642 nm).



No efficiency data

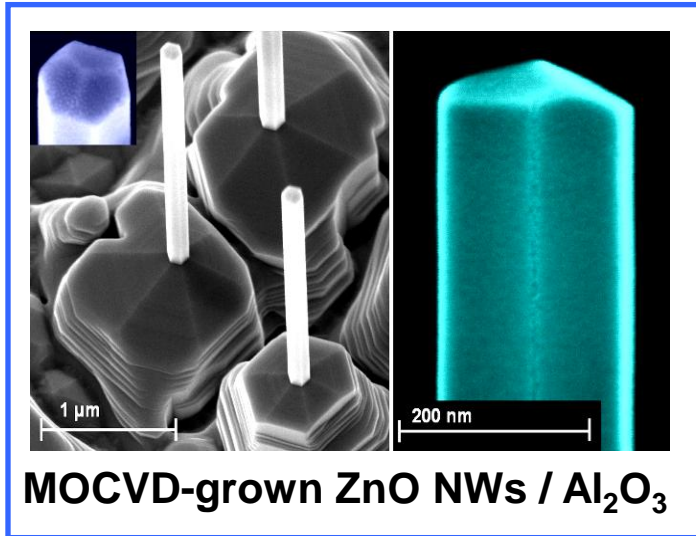
## ✓ Selective area growth of GaN ordered NW arrays

- p-n GaN homojunction LED MOVPE (Hersee)
- GaN/GaN MBE NW (*Kishino*)



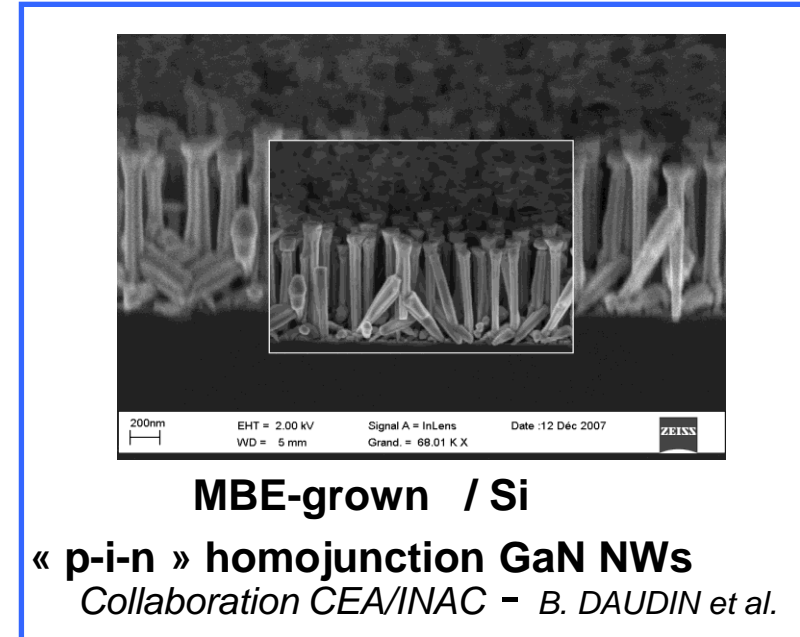
# Nanowire LED development and results at LETI

## ZnO



- Growth on metal substrates
- ZnMgO alloys
- ZnO/ZnMgO MQW
- p-doping

## GaN

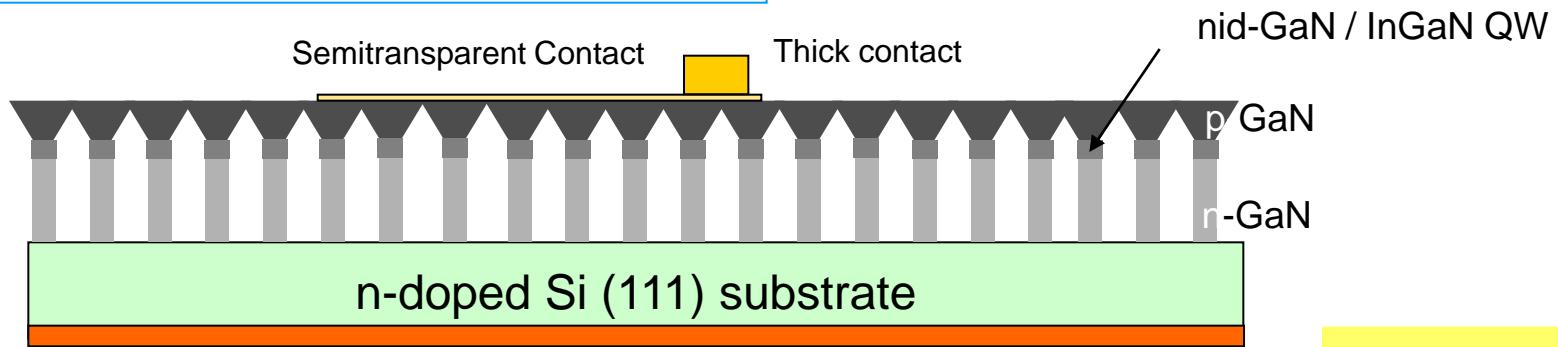


- **MBE grown MQW InGaN/GaN**
- **p-i-n heterojunction NWs**
- MOCVD grown GaN NWs

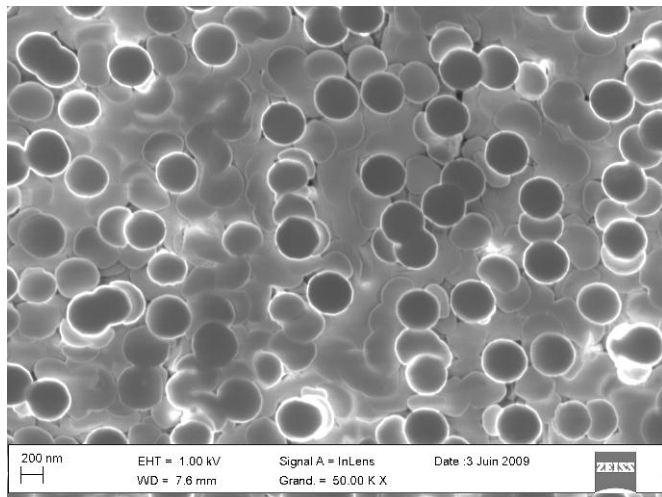
# Nanowire LED

## ElectroLuminescence in GaN

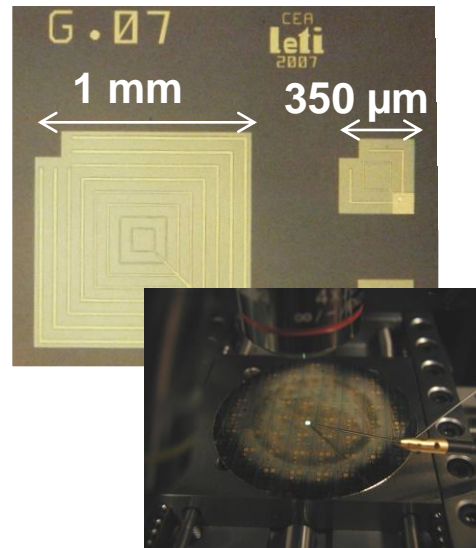
### MBE grown GaN nanodiodes



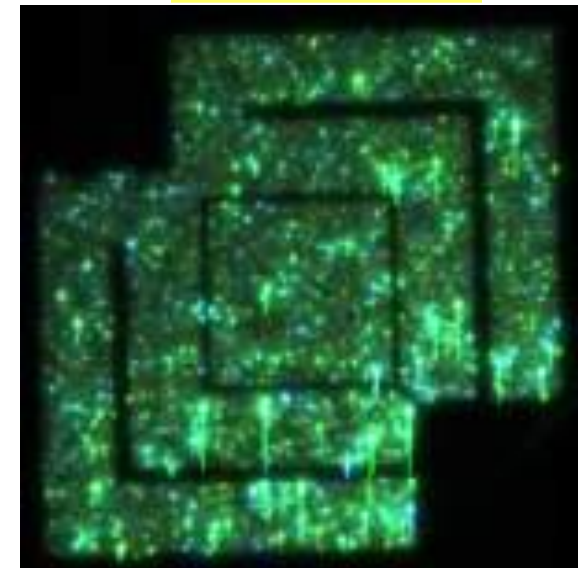
SEM Top view



Microscopic images of LEDs



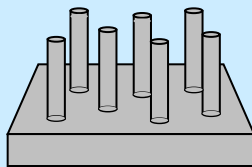
1x1 mm<sup>2</sup> LED



# Nanowire LED

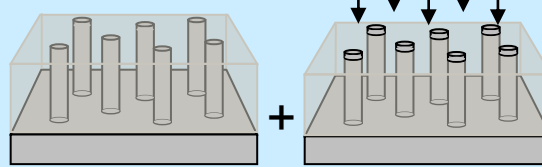
## ElectroLuminescence in ZnO

- Planarization by filling + CMP/etching
  - Polymer or spin on glass
  - Mineral CVD SiO<sub>2</sub>

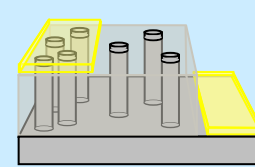


i. as grown

- **Contacting**

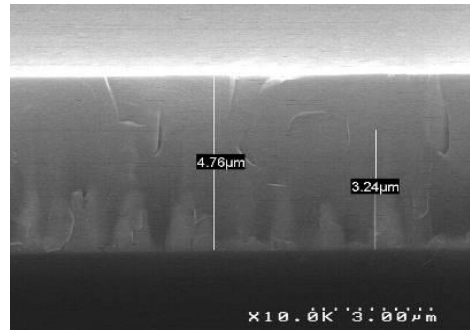
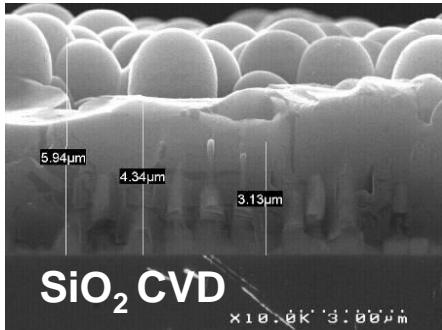


ii. planarization/ etching

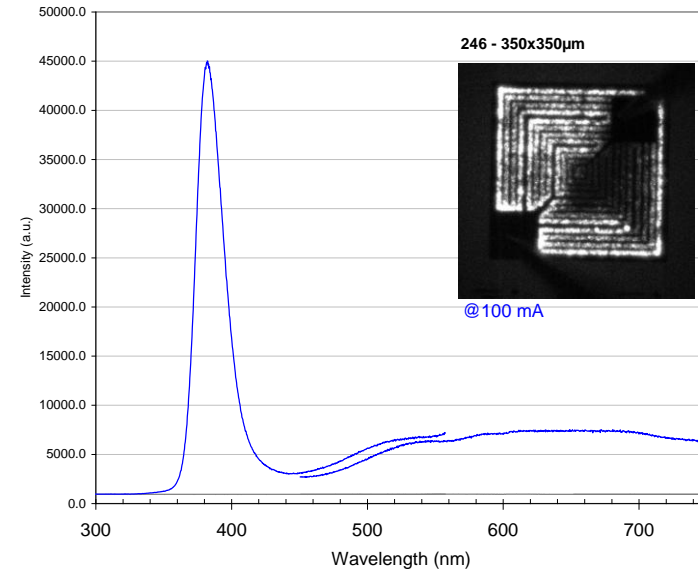


iii. contact deposition

### Planarization of ZnO NWs



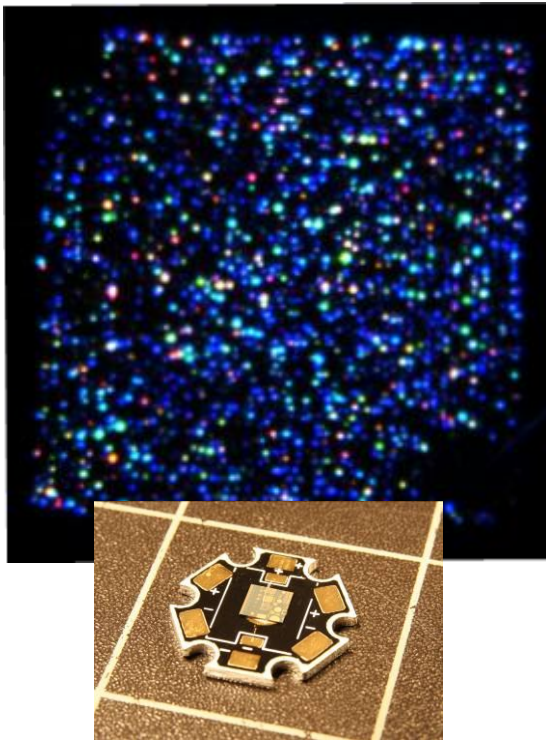
E. ROMAIN-LATU et al. - Nanotechnology 19, 345304 (2008)



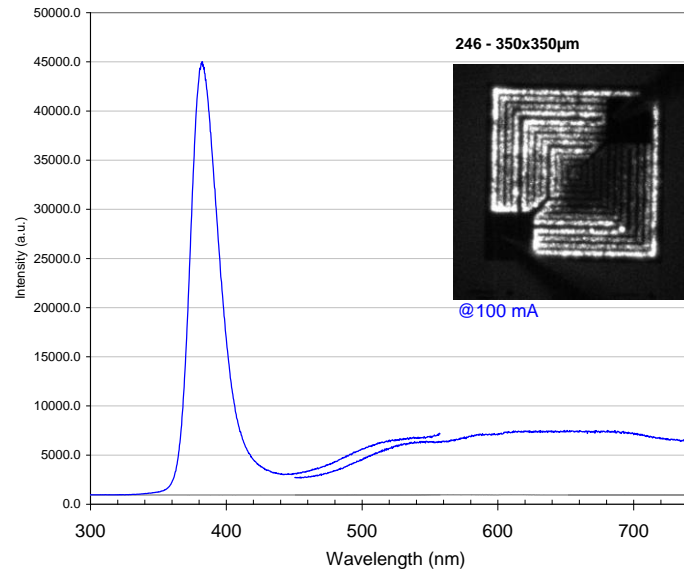
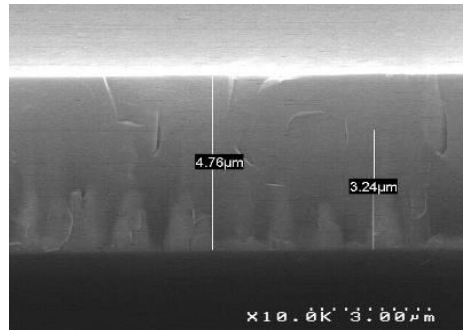
# Alternative technologies results

## GaN nanowires

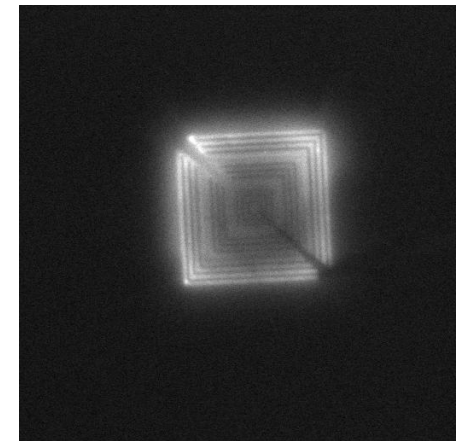
1x1 mm<sup>2</sup> LED



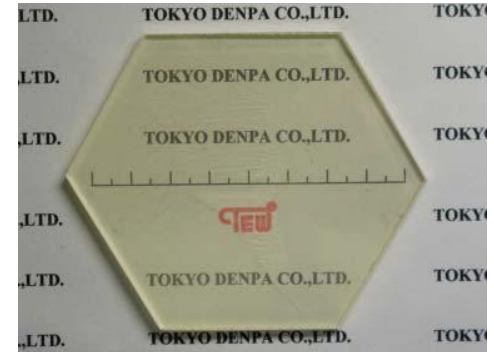
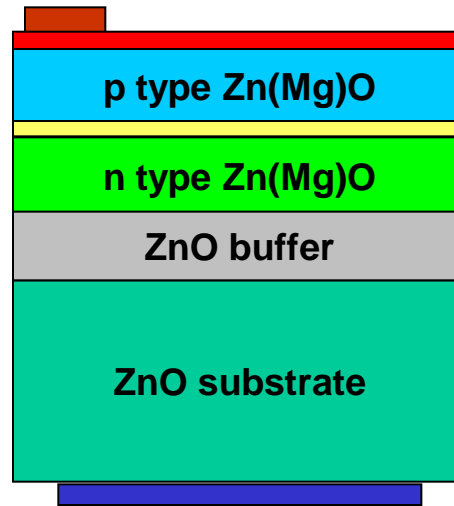
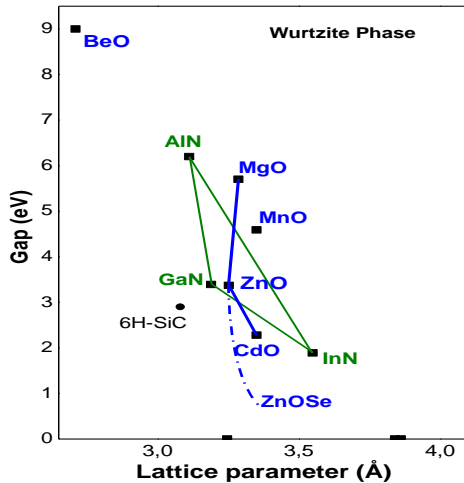
## ZnO nanowires



## ZnO



# ZnO planar LEDs : motivations



3" R&D  
2" product  
20mm commercial  
EPD < 1 E5 / cm<sup>2</sup>

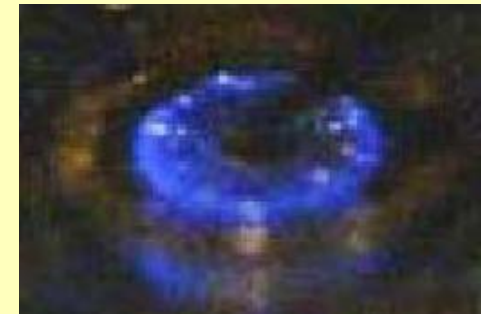
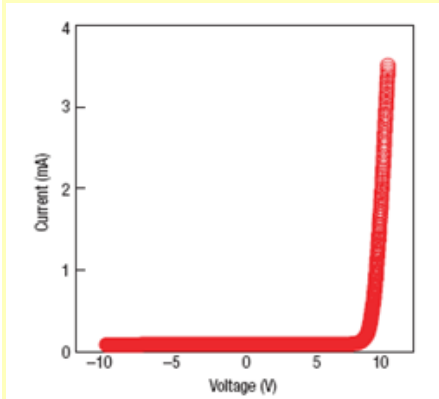
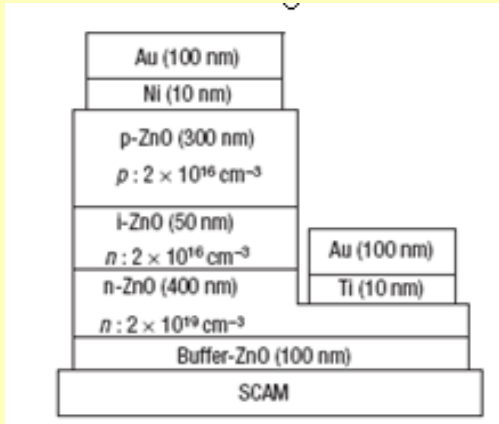
p-doping issue

ZnO : alternative substrate for GaN LEDs epitaxy

- Efficient near UV LED for white ... with ZnMgO/ZnO
- Large high quality ZnO wafer for homoepitaxy
- Quasi unlimited raw materials
- Non polar heterostructures
- Vertical injection
- Newcomers welcome

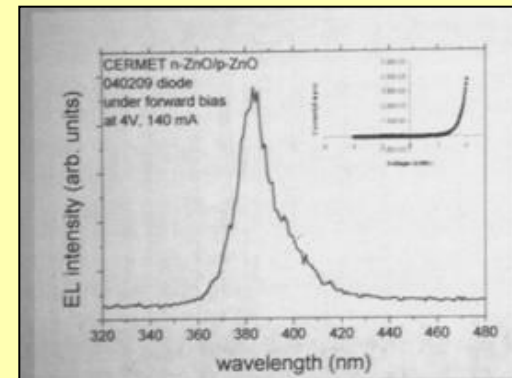
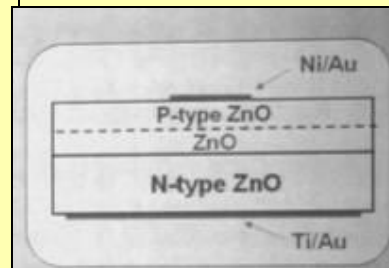
# ZnO LEDs : state of the art

- ❑ **Kawasaki (Sendai, 2005) : 1st ZnO LED : MBE**, p-doped ZnO:N
- ✓ p-i-n ZnO homojunction on SCAM substrate > **blue EL**



- Current development by **Rohm (Japan)** on **ZnO substrates**
- ✓ p ZnMgO/ i ZnO / n ZnO / ZnO substrate > **UV electrolum**

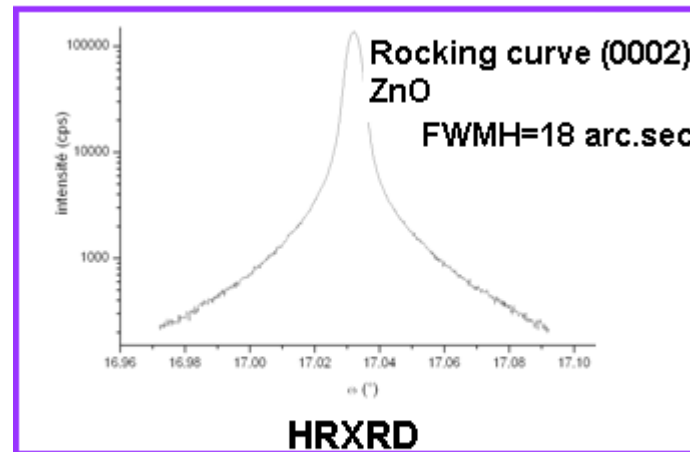
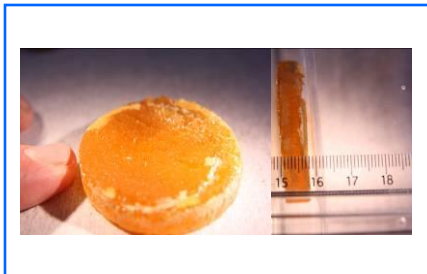
- ❑ **Cermet (USA, 2008) :** MOVPE, p-doped ZnO:N
- ✓ p-n ZnO homojunction ZnO substrate > **UV EL**



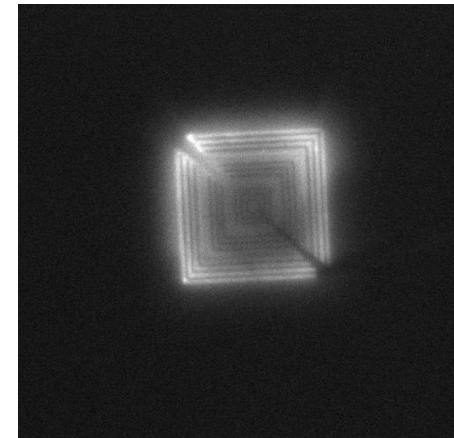
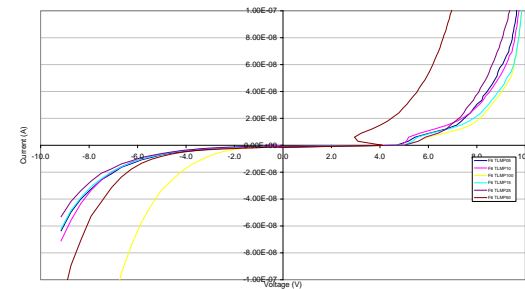


# ZnO LEDs : LETI's developments and results

- Epiready substrate preparation
- High structural quality ZnO and ZnMgO layers
- p-doping



ZnO MOVPE grown on c-ZnO substrate

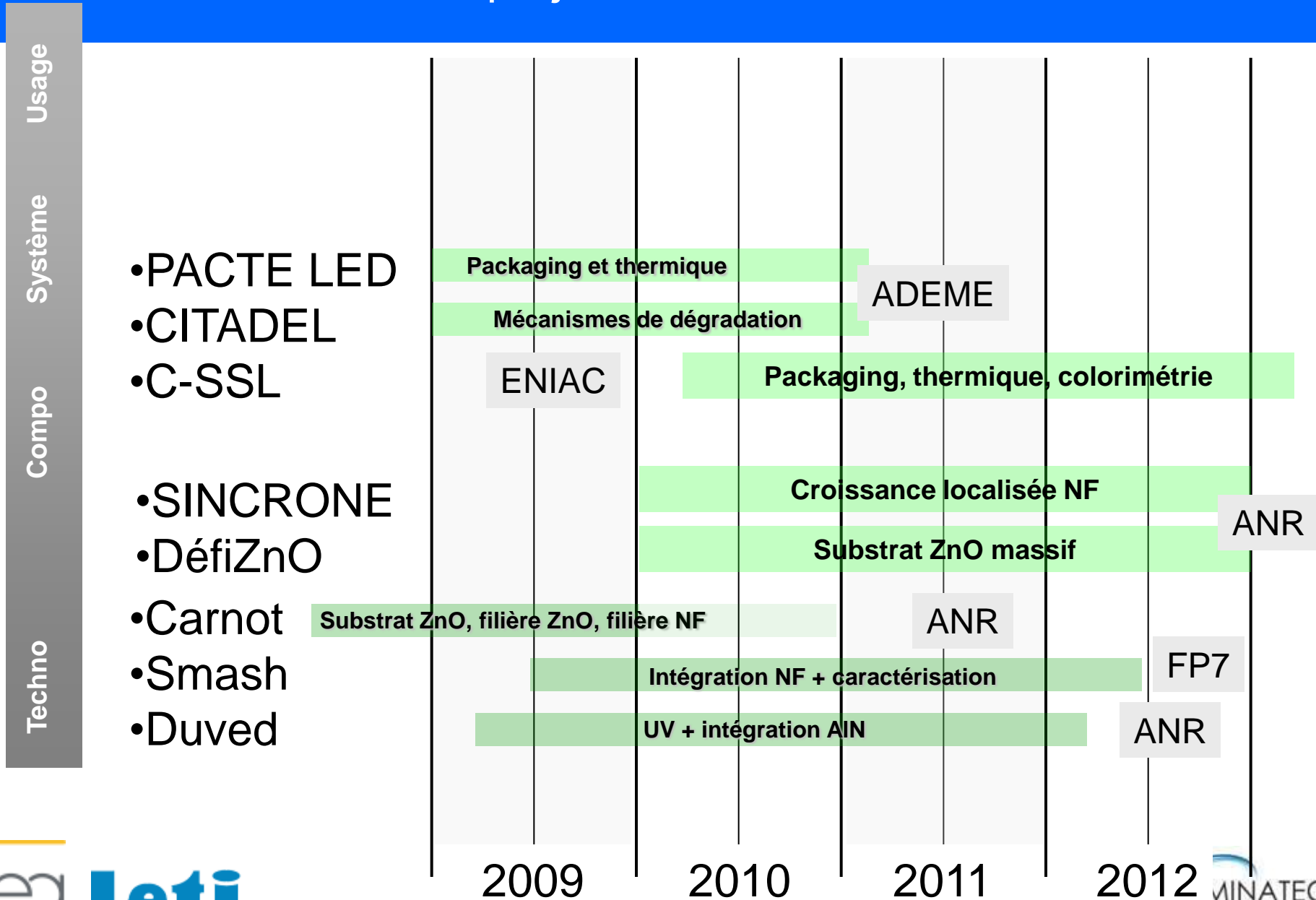


**ZnO LED Electro-Luminescence achieved**

# Conclusion and prospects

- **Internal development**
  - Assessment of our disruptive approaches for high efficiency
  - Lot of room for improvement
    - ZnO LEDs : key p-doping issue
    - GaN NW based LEDs : epitaxy and integration
    - ZnO crystal growth : toward high quality large wafers
  
- **Driving objectives**
  - **Higher efficiency**
  - **Low cost LED sources**
  - **Direct white emitting diode**
  
- **Towards industrial valorization**

# Research projects LETI's involved in





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## Academic collaborations



**ZnO MOVPE**



**ZnO charact.**



**ZnO MBE**



**GaN NW epitaxy & charact.**



# Thank you for your attention

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